

ON GELFAND-KIRILLOV TRANSCENDENCE DEGREE

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ABSTRACT. We study some basic properties of the Gelfand-Kirillov transcendence degree and compute the transcendence degree of various infinite-dimensional division algebras including quotient division algebras of quantized algebras related to quantum groups, 3-dimensional Artin-Schelter regular algebras and the 4-dimensional Sklyanin algebra.

1. INTRODUCTION

The Gelfand-Kirillov transcendence degree was introduced in [GK] by I. M. Gelfand and A. A. Kirillov in order to show that the n -th and m -th Weyl division algebras D_n and D_m are not isomorphic if $n \neq m$. For an algebra A over a base field k , the Gelfand-Kirillov transcendence degree of A is defined to be

$$\text{Tdeg}(A) = \sup_V \inf_b \overline{\lim}_{n \rightarrow \infty} \log_n \dim((k + bV)^n)$$

where V ranges over the subframes (i.e. finite dimensional subspaces containing 1) of A and b ranges over the regular elements of A . The dimension of a k -vector space is always denoted by \dim . The Gelfand-Kirillov dimension of A , which was also introduced in [GK], is defined to be

$$\text{GKdim}(A) = \sup_V \overline{\lim}_{n \rightarrow \infty} \log_n \dim(V^n)$$

where V ranges over the subframes of A . Gelfand and Kirillov proved that GKdim and Tdeg of the n -th Weyl algebra A_n are $2n$ and that Tdeg of the quotient division algebra D_n of A_n is $2n$.

On the other hand, L. Makar-Limanov showed that the first Weyl division algebra D_1 (and hence D_n for all $n \geq 1$) contains a noncommutative free subalgebra of two variables [M-L]. This implies that $\text{GKdim}(D_n) = \infty$ for all $n \geq 1$ [KL, 8.18]. Hence GKdim is not a good invariant for these division algebras, and indeed it is not a good invariant for many other non-PI division algebras.

Since the 1980's several new examples of noncommutative algebras have been discovered. In particular, quantum groups and related algebras have been studied extensively. Hence a familiar question arises: How to determine whether two quotient division algebras of quantized algebras are isomorphic or not. This question

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can be solved partially if there is a good invariant for division algebras. One aim of this paper is to show that the Gelfand-Kirillov transcendence degree is a good invariant for division algebras of quantized algebras (see sections 6, 7, 10).

If A is a commutative field, then $\text{Tdeg}(A)$ is equal to the (classical) transcendence degree $\text{tdeg}(A)$. This is of course a justification of calling Tdeg a transcendence degree. If A is a noncommutative division algebra, it is difficult to compute $\text{Tdeg}(A)$ because it is not easy to determine $\dim((k+bV)^n)$ for all different choices of b , V , and n . W. Borho and H. Kraft in [BK] calculated Tdeg for the quotient division algebras of almost commutative domains (for example, universal enveloping algebras of finite dimensional Lie algebras) and M. Lorenz in [Lo] calculated Tdeg for the quotient division algebras of some (twisted) group algebras. Not much else was known about the Gelfand-Kirillov transcendence degree. Another aim of this paper is to discuss basic properties of Tdeg , especially to study some properties which were not discussed in [GK], [BK] and [Lo] (see sections 3, 4, 5, 9).

Most non-PI division algebras in which we are interested are quotient division algebras of some noetherian domains of finite GKdim. Hence it will be helpful if we can find a close relationship between Tdeg of a division algebra and GKdim of its subalgebra. Thus we introduce the following notion. An algebra A with total quotient algebra $Q(A)$ is said to be Tdeg -stable if $\text{Tdeg}(Q(A)) = \text{Tdeg}(A) = \text{GKdim}(A)$. Gelfand and Kirillov proved that the Weyl algebras are Tdeg -stable because

$$\text{Tdeg}(D_n) = \text{Tdeg}(A_n) = \text{GKdim}(A_n) = 2n.$$

Tdeg -stable is a technical but useful notion. If A is Tdeg -stable, one can compute $\text{Tdeg}(A)$ and $\text{Tdeg}(Q(A))$ by only determining $\text{GKdim}(A)$. If A is not Tdeg -stable, one should try to find a subalgebra $B \subset A$ such that (a) B is Tdeg -stable and (b) A is between B and $Q(B)$. If this is the case, it is easy to show $\text{Tdeg}(Q(A)) = \text{Tdeg}(A) = \text{GKdim}(B)$. Hence in many cases we reduce a problem of calculating $\text{Tdeg}(Q)$ for a division algebra Q to a problem of calculating $\text{GKdim}(B)$ for some noetherian subalgebra $B \subset Q$. Therefore one of our basic questions is to determine which algebras are Tdeg -stable.

Theorem 1.1. *The following algebras are Tdeg -stable.*

- (1) *Semiprime Goldie algebras with GKdim at most 2.*
- (2) *Semiprime Goldie PI algebras.*
- (3) *Skew polynomial algebras $k_{p_{ij}}[x_1, \dots, x_n]$ and $k_{p_{ij}}[x_1, x_1^{-1}, \dots, x_n, x_n^{-1}]$ [Lo, 2.2].*
- (4) *Universal enveloping algebras $U(L)$ of finite dimensional Lie algebras L [BK, 6.5].*
- (5) *The Weyl algebras A_n [GK, 6].*
- (6) *Quantum matrix algebras $M_{q, p_{ij}}(n)$ and $GL_{q, p_{ij}}(n)$.*
- (7) *Quantum Weyl algebras $A_n(q, p_{ij})$.*
- (8) *Quantum universal enveloping algebra $U_q(\mathfrak{sl}_2)$.*
- (9) *$A[x]$ and $A[x, x^{-1}]$ if A is Tdeg -stable.*
- (10) *3-dimensional Artin-Schelter regular algebras generated in degree 1.*
- (11) *The 4-dimensional Sklyanin algebra.*

There are other Tdeg -stable algebras which are described in sections 5, 9 and 10. Given a division algebra Q , it is unknown if there exists a Tdeg -stable subalgebra B of Q such that $Q(B) = Q$ (although that is true in many cases). However there

exists a subalgebra B such that $Q(B) = Q$ and the difference between $\text{GKdim}(B)$ and $\text{Tdeg}(Q)$ can be arbitrary small. The precise statement is the following.

Theorem 1.2. *Let A be a domain and suppose that the quotient division algebra $Q(A)$ is finitely generated as a division algebra.*

(1) $\text{Tdeg}(A) = \inf \text{GKdim}(B)$ where B ranges over all finitely generated subalgebras of A such that $Q(B) = Q(A)$.

(2) If V is a subframe of A such that $Q(k[V]) = Q(A)$, then

$$\text{Tdeg}(A) = \inf_{b \in A - \{0\}} \text{GKdim}(k[bV]).$$

If A is a finitely generated domain, then Theorem 1.2(2) provides a simplification of the definition of Tdeg . As a consequence of Theorem 1.2(1), if A is a finitely generated and Tdeg -stable domain, then $\text{GKdim}(A) = \text{GKdim}(B)$ for every subalgebra B such that $Q(B) = Q(A)$. For example, let A_n be the n -th Weyl algebra generated by $\{x_1, \dots, x_n\} \cup \{\partial_1, \dots, \partial_n\}$. Then each subalgebra generated by $\{b, bx_1, \dots, bx_n, b\partial_1, \dots, b\partial_n\}$ has GKdim $2n$ for every nonzero $b \in A_n$.

Recently M. Artin and J. T. Stafford classified connected graded domains of GKdim 2 in term of projective curves [ASta]. The first step of their work was to prove the following result [ASta, 0.1(i)].

Theorem (Artin-Stafford). *Let A be a finitely generated connected \mathbb{N} -graded domain of GKdim 2, with graded quotient algebra $D[z, z^{-1}, \sigma]$. Then D is a finite module over its center F , and F is a finitely generated field extension of k of transcendence degree one.*

In this case D is a PI division algebra and hence $\text{GKdim}(D) = \text{tdeg}(D) = 1$. It is natural to ask if a similar result holds for connected graded domains of GKdim 3. If A is a connected graded domain with finite GKdim , then A is graded Goldie and the graded quotient algebra $Q_{gr}(A)$ of A is isomorphic to $D[z, z^{-1}, \sigma]$, where D is a division algebra and σ is an automorphism of D . If $\text{GKdim}(A) = 3$, then in general D is not a PI division algebra and in many cases $\text{GKdim}(D) = \infty$. Hence the classical transcendence degree is not suitable for D . One reasonable conjecture is the following.

Conjecture. *Let A be a finitely generated connected graded domain of GKdim 3, with graded quotient algebra $D[z, z^{-1}, \sigma]$. Then $\text{Tdeg}(D) = 2$.*

If $\text{GKdim}(A) \geq 4$, then the conjecture should be $\text{Tdeg}(D) \leq \text{GKdim}(A) - 1$. There is a finitely generated, connected graded and Tdeg -stable domain of GKdim 4, with graded quotient algebra $D[z, z^{-1}, \sigma]$, such that D is a field of GKdim 2 [Example 9.8]. We will see that $\text{Tdeg}(D) = 1$ if and only if $\text{GKdim}(D) = 1$ [Theorem 4.3]. Hence [ASta, 1.2] implies that $\text{Tdeg}(D) \geq 2$ if $\text{GKdim}(A) = 3$. The following partial result will be proved, but the conjecture remains open in general.

Theorem 1.3. *Let A be a connected graded right noetherian domain of GKdim 3, with graded quotient algebra $D[z, z^{-1}, \sigma]$. If A contains a normal element of positive degree, then $\text{Tdeg}(D) = 2$.*

By the classification of [AS] and [ATV1,2], every 3-dimensional Artin-Schelter regular algebra generated in degree 1 contains a normal element of positive degree. Hence Theorem 1.3 applies to these algebras. There are many unsolved (but very

basic) questions about Tdeg . For example, it is unknown if $\text{Tdeg}(D[z, z^{-1}, \sigma]) \geq \text{Tdeg}(D) + 1$ holds. Other unsolved questions can be found in [Sc].

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2. BASIC PROPERTIES

In this section we investigate some elementary properties of the Gelfand-Kirillov transcendence degree. Let k be a field. Throughout the paper, vector space, algebra, Gelfand-Kirillov dimension, Gelfand-Kirillov transcendence degree (and other notions) are defined over the ground field k unless indicated otherwise. We will use basic properties of the Gelfand-Kirillov dimension frequently, which can be found in [KL], [NV2, Ch. 10] and [MR, Ch. 8]. Recall that V is called a *subframe* of A if V is a finite dimensional subspace of A containing the identity 1. If A is generated by a subframe V , then by [KL, 1.1]

$$\text{GKdim}(A) = \lim_{n \rightarrow \infty} \log_n(\dim(V^n)).$$

For an algebra A , the *Gelfand-Kirillov transcendence degree*, denoted by $\text{Tdeg}(A)$, is defined to be

$$(2.0) \quad \text{Tdeg}(A) = \sup_V \inf_b \text{GKdim}(k[bV])$$

where V ranges over the subframes of A and b ranges over the regular elements of A (see [NV2, p. 330]). Since $\text{GKdim}(k[bV]) \leq \text{GKdim}(A)$, the next proposition is clear.

Proposition 2.1. *Let A be a k -algebra. Then $\text{Tdeg}(A) \leq \text{GKdim}(A)$.* \square

Proposition 2.2. *If A is commutative, then $\text{Tdeg}(A) = \text{GKdim}(A)$. As a consequence, if A is a commutative field, then $\text{Tdeg}(A) = \text{GKdim}(A) = \text{tdeg}(A)$.*

Recall that tdeg denotes the (classical) transcendence degree, and $\text{GKdim}(A) = \text{tdeg}(A)$ if A is a commutative field [NV2, 10.3.3.1].

Proof. By definition we have

$$\text{Tdeg}(A) = \sup_V \inf_b \text{GKdim}(k[bV])$$

and

$$\text{GKdim}(A) = \sup_V \text{GKdim}(k[V]).$$

It suffices to show that $\text{GKdim}(k[bV]) \geq \text{GKdim}(k[V])$ for all regular elements b of A . Since A is commutative, we have

$$\dim((k + bV)^n) \geq \dim((bV)^n) = \dim(b^n V^n) = \dim(V^n).$$

Hence $\text{GKdim}(k[bV]) \geq \text{GKdim}(k[V])$. \square

Example 2.3. There are algebras A such that $\text{Tdeg}(A) < \text{GKdim}(A) < \infty$.

(1) Lorenz's example [Lo, 2.5]. Let R be the commutative domain $k[x, x^{-1}, y, y^{-1}]$ and let σ be the automorphism defined by $\sigma(x) = x$ and $\sigma(y) = yx$. Then $S = R[z, z^{-1}, \sigma]$ is isomorphic to the group algebra $k[G]$ where $G = \langle y, z | x = [y, z] \text{ central} \rangle$. By [Lo, 2.5 and 2.2] $\text{GKdim}(S) = \text{Tdeg}(S) = 4$. Let \mathcal{C} be the multiplicatively closed set generated by the elements $\{1 - yx^m | m \in \mathbb{Z}\}$. Then $T = R_{\mathcal{C}}[z, z^{-1}, \sigma]$ is a noetherian domain and it is a localization of S . By [Lo, 2.4 and 2.5], $5 \leq \text{GKdim}(T) \leq 8$. By Proposition 3.1(3) in Section 3, $\text{Tdeg}(T) \leq 4$. Therefore $\text{Tdeg}(T) < \text{GKdim}(T) \leq 8$.

Another property of the algebra $S = R[z, z^{-1}, \sigma]$ is

$$\text{Tdeg}(R[z, z^{-1}, \sigma]) = 4 > \text{Tdeg}(R) + 1$$

where $\text{Tdeg}(R) = \text{GKdim}(R) = 2$ by Proposition 2.2.

(2) A. Joseph's example [Jo]. Let A_1 be the first Weyl algebra generated by x, ∂ subject to the relation $\partial x - x\partial = 1$ and S the multiplicatively closed set generated by the elements $\{x\partial - m | m \in \mathbb{Z}\}$. By [KL, 4.11], S is an Ore set and $\text{GKdim}(S^{-1}A_1) = 3$. On the other hand, we will see that $\text{Tdeg}(S^{-1}A_1) = 2$ at the end of Section 3. Hence $\text{Tdeg}(S^{-1}A_1) < \text{GKdim}(S^{-1}A_1)$. \square

G. Bergman proved that no number in the open interval $(1, 2)$ appears as the GKdim of an algebra [Be]. It is easy to see that no GKdim numbers are in the open interval $(0, 1)$. By the definition of Tdeg (see (2.0)), we have the following.

Proposition 2.4. *Let A be an algebra. Then $\text{Tdeg}(A)$ is either 0, 1, or ≥ 2 .* \square

It is unknown if there is a division algebra A such that $\text{Tdeg}(A)$ is a non-integer. For convenience, we denote, for every subframe V of A ,

$$I_V(A) = \inf_b \text{GKdim}(k[bV])$$

where b ranges over all regular elements of A . The following lemma is clear.

Lemma 2.5. *Let A be an algebra and let V and V' be subframes of A .*

(1) *If $V \subset V'$, then $I_V(A) \leq I_{V'}(A)$.*

(2) *Suppose $\Phi = \{V'\}$ is a set of subframes of A which is cofinal amongst all subframes of A , namely, every subframe V of A is contained in a subframe V' in this particular set Φ . Then $\text{Tdeg}(A) = \sup_{V' \in \Phi} I_{V'}(A)$.* \square

Proposition 2.6. *Let B and C be algebras and let $A = B \oplus C$. Then*

$$\text{Tdeg}(A) = \max\{\text{Tdeg}(B), \text{Tdeg}(C)\}.$$

Proof. Every regular element a of A is of form $b + c$ where b and c are regular elements of B and C respectively. For any subframes V_1 of B and V_2 of C , we define a subframe V' of A by $V' = V_1 \oplus V_2$. It is easy to see that the algebras $k[aV']$ and $k[bV_1] \oplus k[cV_2]$ differ by a 1-dimensional vector space. For every subframe V of A , there is a subframe $V' = V_1 \oplus V_2$ such that $V \subset V'$. By Lemma 2.5(2), to compute $\text{Tdeg}(A)$ we only need to take the supremum of $I_{V'}(A)$ over all $V' = V_1 \oplus V_2$. Hence

$$\begin{aligned} \max\{\text{Tdeg}(B), \text{Tdeg}(C)\} &= \max\left\{\sup_{V_1} \inf_b \text{GKdim}(k[bV_1]), \sup_{V_2} \inf_c \text{GKdim}(k[cV_2])\right\} \\ &= \sup_{V_1, V_2} \inf_{b, c} \max\{\text{GKdim}(k[bV_1]), \text{GKdim}(k[cV_2])\} \\ &= \sup_{V' = V_1 \oplus V_2} \inf_{a = b + c} \text{GKdim}(k[aV']) = \text{Tdeg}(A). \end{aligned} \quad \square$$

Proposition 2.7. *Let A be an algebra and let $M_n(A)$ be the $n \times n$ matrix algebra over A .*

(1) $\text{Tdeg}(A) \geq \text{Tdeg}(M_n(A))$.

(2) *If A is a domain, then $\text{Tdeg}(A) = \text{Tdeg}(M_n(A))$.*

Proof. (1) For every subframe V of $M_n(A)$, define $V' = \sum_{i,j} k e_{ij} + \sum_{i,j,l,m} e_{ij} V e_{lm}$ where $\{e_{ij} | 1 \leq i, j \leq n\}$ are the matrix units of $M_n(A)$. Then V' is finite dimensional and $\{V'\}$ is cofinal amongst all subframes of $M_n(A)$. For every such subframe V' , there are $v_l \in A$ such that $V' = \bigoplus_{i,j,l} k e_{ij} v_l$. Hence $V' = M_n(V_0)$ where $V_0 = \bigoplus_l k v_l$.

Let b be a regular element of A . Then bI_n is a regular element of $M_n(A)$. It is easy to see that the algebras $k[bI_n V']$ and $M_n(k[bV_0])$ differ by a finite dimensional vector space. Indeed, as vector spaces, $M_n(k[bV_0])/k[bI_n V'] \cong M_n(k)/k$. Hence

$$\begin{aligned} I_V(M_n(A)) &\leq I_{V'}(M_n(A)) = \inf_{a \in M_n(A)} \text{GKdim}(k[aV']) \\ &\leq \inf_{bI_n} \text{GKdim}(k[bI_n V']) = \inf_b \text{GKdim}(M_n(k[bV_0])) \\ &= \inf_b \text{GKdim}(k[bV_0]) = I_{V_0}(A). \end{aligned}$$

Since V is arbitrary, $\text{Tdeg}(M_n(A)) \leq \text{Tdeg}(A)$.

(2) Suppose A is a domain. Again we compare $I_{V'}(M_n(A))$ and $I_{V_0}(A)$. For every regular element $a \in M_n(A)$, $a = \sum_{t,w} e_{tw} b_{tw}$ for $b_{tw} \in A$ and some $b_{ij} \neq 0$. Then

$$aV' \supset aV' e_{ji} \supset k \left(\sum_t e_{ti} b_{tj} \right) + \sum_l k \left(\sum_t e_{ti} b_{tj} \right) v_l.$$

It is easy to see that the map $f \mapsto e_{ii} f$ defines an algebra epimorphism from the subalgebra generated by $k(\sum_t e_{ti} b_{tj}) + \sum_l k(\sum_t e_{ti} b_{tj}) v_l$ to the subalgebra $k[b_{ij} V_0]$. Therefore

$$\text{GKdim}(k[aV']) \geq \text{GKdim}(k[b_{ij} V_0]) \geq I_{V_0}(A).$$

This implies that $I_{V'}(M_n(A)) \geq I_{V_0}(A)$. By Lemma 2.5(2),

$$\text{Tdeg}(M_n(A)) = \sup_{V'} I_{V'}(M_n(A)) \geq \sup_{V_0} I_{V_0}(A) = \text{Tdeg}(A). \quad \square$$

If A is not a domain, then the method used in the proof of Proposition 2.7(2) fails because $a = \sum e_{ij} b_{ij}$ may be regular even though none of the b_{ij} is regular in A . An easy example is $A = k \oplus k = k e_1 \oplus k e_2$. Then $a = \begin{pmatrix} e_1 & e_2 \\ e_2 & e_1 \end{pmatrix}$ is a regular element of $M_2(A)$ but e_1 and e_2 are not regular in A . On the other hand, no examples are known where $\text{Tdeg}(A) > \text{Tdeg}(M_n(A))$. Another basic property of Tdeg is its symmetry.

Proposition 2.8. *Let A be an algebra. Then $\text{Tdeg}(A) = \sup_V \inf_b \text{GKdim}(k[Vb])$. As a consequence, $\text{Tdeg}(A) = \text{Tdeg}(A^{\text{op}})$.*

Proof. It suffices to show $k[bV] \cong k[Vb]$ for every regular element b and every subframe V . Suppose that $\{1, v_1, \dots, v_n\}$ is a basis of V . Let $f(x_0, x_1, \dots, x_n)$ be any noncommutative polynomial. Then $f_l = f(b, bv_1, \dots, bv_n)$ is an element in

$k[bV]$ and $f_r = f(b, v_1b, \dots, v_nb)$ is an element in $k[Vb]$. It is easy to check that $bf_r = f_ib$. Since b is regular, $f_i = 0$ if and only if $f_r = 0$. Hence $f_i \mapsto f_r$ is an isomorphism from $k[bV]$ to $k[Vb]$. \square

Let us now state the Gelfand-Kirillov theorem for the Weyl division algebras. A proof will be given in Corollary 6.9.

Theorem 2.9 (Gelfand-Kirillov). *Let D_n be the n -th Weyl division algebra. Then $\text{Tdeg}(D_n) = 2n$.* \square

One basic property of classical transcendence degree is that $\text{tdeg}(F) \leq \text{tdeg}(D)$ if F is a subfield of a commutative field D . However it is unknown whether $\text{Tdeg}(B) \leq \text{Tdeg}(D)$ holds for every division subalgebra B of a noncommutative division algebra D . The following example shows that a division algebra of finite Tdeg may contain a subalgebra of infinite Tdeg .

Example 2.10. There is a division algebra D and a subalgebra B such that $\text{Tdeg}(D) = 2$ and $\text{Tdeg}(B) = \infty$. As a consequence $\text{GKdim}(D) = \text{GKdim}(B) = \infty$.

Makar-Limanov's Example [M-L]. By Theorem 2.9, $\text{Tdeg}(D_1) = 2$. By [M-L] D_1 contains a free subalgebra of two variables. But $\text{Tdeg}(k\langle x, y \rangle) = \infty$. To see this, let $V = k + kx + ky$. For every $b \in k\langle x, y \rangle$, $k[bV] \supset k[bx, by]$. Since $k\langle x, y \rangle x \cap k\langle x, y \rangle y = 0$, $k\langle x, y \rangle bx \cap k\langle x, y \rangle by = 0$. Hence $k[bx, by]$ is isomorphic to a free algebra of two variables, and then $\text{GKdim}(k[bV]) = \infty$. Therefore $I_V(k\langle x, y \rangle) = \infty$ and $\text{Tdeg}(k\langle x, y \rangle) = \infty$. \square

3. LOCALIZATION

In this section we will show that $\text{Tdeg}(S^{-1}A) \leq \text{Tdeg}(A)$ for every algebra A and every Ore set S of regular elements of A . This inequality with Proposition 2.1 implies that the Weyl division algebras (and many other noncommutative division algebras) have finite Tdeg . Recall that for the Gelfand-Kirillov dimension we have the inequality $\text{GKdim}(S^{-1}A) \geq \text{GKdim}(A)$, and the equality will fail in general. By using the inequality for Tdeg , the definition of Tdeg can be simplified for finitely generated domains (compare (2.0) and (3.3.1)). At the end of the section we introduce the notion of Tdeg -stable and calculate Tdeg of the algebra $S^{-1}A_1$ in Example 2.3(2). For definitions of left and/or right Ore conditions, Ore domain and localization see for example [KL, Ch. 4] and [MR, Ch. 2].

Proposition 3.1. *Let A be an algebra and let S be an Ore set of regular elements of A . Let $S^{-1}A$ be the localization of A over S .*

(1) *Suppose B is a subalgebra of $S^{-1}A$ containing A . Then*

$$\text{Tdeg}(B) = \sup_{V_0 \subset A} I_{V_0}(B)$$

where V_0 ranges over all subframes of A .

(2) *Let $B_1 \subset B_2$ be subalgebras of $S^{-1}A$ containing A . Then $\text{Tdeg}(B_2) \leq \text{Tdeg}(B_1)$.*

(3) *If $A \subset B \subset S^{-1}A$, $\text{Tdeg}(S^{-1}A) \leq \text{Tdeg}(B) \leq \text{Tdeg}(A)$.*

Proof. (1) For every subframe V of B , there is an $s \in S$ such that $V' := sV$ is a subspace of A . Then $V \subset s^{-1}(V' + k)$. For every regular element r of B , rs is a

regular element of B . Hence

$$I_V(B) = \inf_b \text{GKdim}(k[bV]) \leq \inf_{rs} \text{GKdim}(k[rs^{-1}(V' + k)]) = I_{V'+k}(B).$$

Since V is arbitrary and $V' + k \subset A$, $\text{Tdeg}(B) = \sup_{V_0 \subset A} I_{V_0}(B)$.

(2) Let V_0 be a subframe of A . By assumption it is easy to see that every regular element of B_1 is a regular element of B_2 . Then $I_{V_0}(B_2) \leq I_{V_0}(B_1)$. Hence the statement follows from (1). (3) is a consequence of (2). \square

If the set S of all regular elements of A is left and right Ore, then the total quotient algebra $S^{-1}A (= AS^{-1})$ is denoted by $Q(A)$. By Proposition 3.1(3), $\text{Tdeg}(Q(A)) \leq \text{Tdeg}(A)$. The following is an analogue of A. V. Jategaonkar's result [Ja].

Proposition 3.2. *If A is a domain and $\text{Tdeg}(A) < \infty$, then A is a left and right Ore domain. Let $Q(A)$ be the quotient algebra of A . Then $\text{Tdeg}(Q(A)) \leq \text{Tdeg}(A)$.*

Proof. It remains to prove the first assertion. If A is not left Ore domain, then there exist $x, y \in A$ such that $Ax \cap Ay = 0$. Then for every $b \in A$, $k[bx, by]$ is isomorphic to the free algebra of two variables. Hence $I_{k+bx+by}(A) = \infty$ and $\text{Tdeg}(A) = \infty$. Contradiction. Therefore A is left Ore. By Proposition 2.8, A is also right Ore. \square

As a consequence of Proposition 3.2, if a domain A is not left Ore then $\text{Tdeg}(A) = \infty$. Note that the right Ore condition does not imply the left Ore condition [Co, 1.3.1]. A division algebra is said to be *finitely generated* if it is a quotient algebra of a finitely generated algebra.

Theorem 3.3. *Let A be a domain and suppose that the quotient division algebra $Q(A)$ is finitely generated. Then*

(1) $\text{Tdeg}(A) = \inf \text{GKdim}(B)$, where B ranges over all finitely generated subalgebras of A such that $Q(B) = Q(A)$.

(2) If V is a subframe of A with $Q(k[V]) = Q(A)$, then $\text{Tdeg}(A) = I_V(A)$.

Proof. (1) Since $B \subset A \subset Q(B)$, by Proposition 3.1(3), $\text{Tdeg}(A) \leq \text{Tdeg}(B) \leq \text{GKdim}(B)$. Hence $\text{Tdeg}(A) \leq \inf \text{GKdim}(B)$.

Conversely, we need to show $\text{Tdeg}(A) \geq \inf \text{GKdim}(B)$. We may assume that $\text{Tdeg}(A)$ is finite. By the hypothesis there is a subframe W such that $Q(A) = Q(k[W])$. Since W is finite dimensional, there is a subframe V_0 of A such that every element in W is a (non-commutative) rational function of elements in V_0 . By definition

$$I_{V_0}(A) = \inf_b \text{GKdim}(k[bV_0]) \leq \text{Tdeg}(A) < \infty.$$

By Propositions 2.1 and 3.2, $\text{GKdim}(k[bV_0]) < \infty$ implies that $k[bV_0]$ is left and right Ore. In this case it is clear that $Q(k[bV_0]) \supset V_0$, and then $Q(k[bV_0]) = Q(k[W]) = Q(A)$. Therefore

$$\text{Tdeg}(A) \geq I_{V_0}(A) = \inf \text{GKdim}(k[bV_0]) \geq \inf \text{GKdim}(B).$$

(2) Let V be a subframe of A such that $Q(k[V]) = Q(A)$. For every nonzero element b we have $Q(k[bV]) = Q(k[V]) = Q(A)$ if $\text{GKdim}(k[bV]) < \infty$. Therefore

$$\text{Tdeg}(A) \geq I_V(A) = \inf \text{GKdim}(k[bV]) \geq \inf \text{GKdim}(B) = \text{Tdeg}(A)$$

and thus $\text{Tdeg}(A) = I_V(A)$. \square

By Theorem 3.3(2), if A is a domain finitely generated by a subframe V , then

$$(3.3.1) \quad \text{Tdeg}(A) = I_V(A) = \inf_b \text{GKdim}(k[bV])$$

where b ranges over all nonzero elements of A .

It is unknown whether $\text{Tdeg}(A) = \text{Tdeg}(S^{-1}A)$ holds for every domain A and every Ore set S of A . For convenience, we introduce the following technical notion.

Definition 3.4. An algebra A is called *Tdeg-stable* if the following two conditions hold:

- (1) $\text{Tdeg}(A) = \text{GKdim}(A)$, and
- (2) for every Ore set S of regular elements, $\text{Tdeg}(S^{-1}A) = \text{Tdeg}(A)$.

Note that A is Tdeg-stable if and only if $\text{GKdim}(A) \leq \text{Tdeg}(S^{-1}A)$ for every regular localization $S^{-1}A$. Suppose Q is a finitely generated division algebra with finite Tdeg. By Theorem 3.3(1), $\text{Tdeg}(Q) = \inf \text{GKdim}(B)$, where B ranges over subalgebras B of Q with $Q(B) = Q$. If B takes the infimum among these subalgebras, namely $\text{GKdim}(B) = \text{Tdeg}(Q)$, then B is Tdeg-stable. We list below a few easy facts.

Proposition 3.5. *Let A be an algebra and suppose that the total quotient algebra $Q(A)$ of A exists.*

- (1) *If $\text{Tdeg}(A) = \text{Tdeg}(Q(A))$ then, for every algebra B with $A \subset B \subset Q(A)$, $\text{Tdeg}(B) = \text{Tdeg}(A)$.*
- (2) *A is Tdeg-stable if and only if $\text{GKdim}(A) \leq \text{Tdeg}(Q(A))$.*
- (3) *If A is Tdeg-stable and B is a subalgebra of $Q(A)$ such that $Q(A) = Q(B)$, then $\text{GKdim}(B) \geq \text{GKdim}(A)$. If moreover $\text{GKdim}(B) = \text{GKdim}(A)$, then B is Tdeg-stable.*
- (4) *If A is Tdeg-stable and B is a subalgebra of A such that $Q(A) = Q(B)$, then $\text{GKdim}(B) = \text{GKdim}(A)$ and B is Tdeg-stable.*

Proof. The proof of these statements are routine, so we only give the proof of (2) as an example.

(2) If A is Tdeg-stable, by definition $\text{GKdim}(A) = \text{Tdeg}(A) = \text{Tdeg}(Q(A))$. Conversely, we suppose $\text{GKdim}(A) \leq \text{Tdeg}(Q(A))$. By Propositions 2.1 and 3.1(3),

$$\text{Tdeg}(Q(A)) \leq \text{Tdeg}(S^{-1}A) \leq \text{Tdeg}(A) \leq \text{GKdim}(A) \leq \text{Tdeg}(Q(A))$$

for every localization $S^{-1}A$. Hence $\text{Tdeg}(S^{-1}A) = \text{Tdeg}(A) = \text{GKdim}(A)$ and thus A is Tdeg-stable. \square

If A is commutative, by Proposition 2.2 we have $\text{Tdeg}(A) = \text{GKdim}(A)$ and by [NV2, 4.2] we obtain $\text{Tdeg}(S^{-1}A) = \text{Tdeg}(A)$. Hence A is Tdeg-stable. The Weyl algebras are also Tdeg-stable (as shown in the introduction). We will see many other Tdeg-stable algebras in later sections. However, not every domain of finite GKdim is Tdeg-stable. For example the algebra $S^{-1}A_1$ in Example 2.3(2) is not Tdeg-stable. Since $A_1 \subset S^{-1}A_1 \subset D_1$, by Proposition 3.5(1), $\text{Tdeg}(S^{-1}A_1) = \text{Tdeg}(A_1) = 2$. But $\text{GKdim}(S^{-1}A_1) = 3$ (see Example 2.3(2)). Therefore $S^{-1}A_1$ is not Tdeg-stable.

4. LOW TDEGS

In this section we will prove that $\text{Tdeg}(A) = 0$ if and only if $\text{GKdim}(A) = 0$, and that $\text{Tdeg}(A) = 1$ if and only if $\text{Tdeg}(A) = 1$ for semiprime Goldie algebras A . As a consequence, every semiprime Goldie algebra of GKdim at most 2 is Tdeg -stable.

Theorem 4.1. *Let A be an algebra. Then $\text{Tdeg}(A) = 0$ if and only if $\text{GKdim}(A) = 0$.*

Proof. If $\text{GKdim}(A) = 0$, by Proposition 2.1, $\text{Tdeg}(A) = 0$. Suppose now that $\text{Tdeg}(A) = 0$. For every subframe V of A , $I_V(A) \leq \text{Tdeg}(A) = 0$. Hence there is a regular element b such that $\text{GKdim}(k[bV]) = 0$. Since $k[bV] \supset k[b]$, $\dim(k[b])$ is finite. Hence b is invertible, and $b^{-1} \in k[b]$ because b is regular. Thus $k[V] \subset k[bV]$ has GKdim 0. Since V is arbitrary, $\text{GKdim}(A) = 0$. \square

One immediate consequence of Theorem 4.1 is the following.

Corollary 4.2. *Let A be an algebra.*

- (1) *If $\text{GKdim}(A) = 0$ (or equivalently $\text{Tdeg}(A) = 0$), then A is Tdeg -stable.*
- (2) *If $\text{GKdim}(A) = 1$, then $\text{Tdeg}(A) = 1$.*
- (3) *If $\text{Tdeg}(A) = 1$, then for every localization $S^{-1}A$, $\text{Tdeg}(S^{-1}A) = 1$.*
- (4) *If $\text{GKdim}(A) = 1$, then A is Tdeg -stable.*

Proof. (1) Obvious from Theorem 4.1 and Proposition 3.1(3).

(2) By Theorem 4.1, $\text{Tdeg}(A) \neq 0$; and then by Proposition 2.4, $\text{Tdeg}(A) \geq 1$. By Proposition 2.1, $\text{Tdeg}(A) \leq \text{GKdim}(A) = 1$ and therefore $\text{Tdeg}(A) = 1$.

(3) By Proposition 3.1(3), $\text{Tdeg}(S^{-1}A) \leq 1$. By Proposition 2.1,

$$\text{GKdim}(S^{-1}A) \geq \text{GKdim}(A) \geq \text{Tdeg}(A) = 1.$$

By Theorem 4.1, $\text{Tdeg}(S^{-1}A) \geq 1$ and hence $\text{Tdeg}(S^{-1}A) = 1$.

(4) A consequence of (2) and (3). \square

Theorem 4.3. *If A is semiprime Goldie, then $\text{Tdeg}(A) = 1$ if and only if $\text{GKdim}(A) = 1$.*

Proof. One direction is Corollary 4.2(2). Now we suppose $\text{Tdeg}(A) = 1$ and A is semiprime Goldie. We need to show $\text{GKdim}(A) = 1$. Since $\text{GKdim}(A) \geq \text{Tdeg}(A) = 1$, it suffices to prove $\text{GKdim}(A) \leq 1$. Let Q be the semisimple artinian quotient algebra of A . By Corollary 4.2(3), $\text{Tdeg}(Q) = 1$. So it is enough to show $\text{GKdim}(Q) \leq 1$. By Goldie's Theorem [MR, 2.3.6], $Q \cong \bigoplus_{i=1}^m M_{n_i}(D_i)$ where the D_i are some division algebras. Applying Propositions 2.6 and 2.7(2), we may assume A is a division algebra without loss of generality. Hence we must verify that a division algebra A has $\text{GKdim} \leq 1$ if it has $\text{Tdeg} \leq 1$.

By definition, $\text{Tdeg}(A) = \sup_V \inf_b \text{GKdim}(k[bV])$. Hence for every subframe V of A , we have $\inf_b \text{GKdim}(k[bV]) \leq 1$. Therefore $\text{GKdim}(k[bV]) \leq 1$ for some regular element b . Since $k[bV] (\subset A)$ is a domain, by [SSW], $k[bV]$ is a noetherian PI domain and a finite module over its center. Let B be the quotient algebra of $k[bV]$. Then B is a central localization of $k[bV]$ [MR, 13.6.5] and hence $\text{GKdim}(B) = \text{GKdim}(k[bV]) \leq 1$ [MR, 8.2.13]. We have a chain of subalgebras $k[bV] \subset B \subset A$ (we can view B is a subalgebra of A because A is a division algebra). Now $b \in k[bV]$ implies $V = b^{-1}bV \subset B$ and hence $k[V] \subset B$. Therefore $\text{GKdim}(k[V]) \leq \text{GKdim}(B) \leq 1$. Since V is arbitrary, $\text{GKdim}(A) \leq 1$. \square

Example 2.10 shows that $\text{Tdeg}(D_1) = 2$ and $\text{GKdim}(D_1) = \infty$. So we cannot expect a theorem similar to Theorem 4.3 to hold for $\text{Tdeg} 2$. As an immediate consequence of Theorem 4.3, we have the following.

Corollary 4.4. *Let A be a semiprime Goldie algebra.*

- (1) *If $\text{GKdim}(A) = 2$, then $\text{Tdeg}(A) = 2$.*
- (2) *If $\text{Tdeg}(A) = 2$, then for every localization $S^{-1}A$ of A , $\text{Tdeg}(S^{-1}A) = 2$.*
- (3) *If $\text{GKdim}(A) = 2$, then A is Tdeg -stable.*

Proof. Note that if A is semiprime Goldie then every localization of A is semiprime Goldie. The rest of the proof is similar to the proof of Corollary 4.2. \square

As a consequence of Corollary 4.4(3), if A is a domain of $\text{GKdim} 2$ then A is Tdeg -stable. For example, the skew polynomial algebra $k_q[x, y]$ is a domain of $\text{GKdim} 2$ and hence it is Tdeg -stable. However, noetherian domains of $\text{GKdim} 3$ need not be Tdeg -stable [Example 2.3(2)].

5. PI AND LOCALLY PI ALGEBRAS

In this section we will prove that every PI (polynomial identity) or locally PI domain (the definition of a locally PI algebra will be given after Theorem 5.3) is Tdeg -stable. At the end of the section we will give an example of a locally PI algebra.

Lemma 5.1. *Let A be an algebra and let Z be a subalgebra contained in the center of A . Then $\text{Tdeg}(A) \geq \text{GKdim}(Z)$.*

Proof. For each finitely generated subalgebra B of Z , by the Noether normalization theorem, there is a subframe V of B such that $\dim(V^n) \geq cn^d$ for some constant $c > 0$ where $d = \text{GKdim}(B)$. For every regular element b in A , we have

$$\dim(k + bV)^n \geq \dim(bV)^n = \dim b^n V^n = \dim V^n \geq cn^d.$$

Hence $\text{GKdim}(k[bV]) \geq d$ and $\text{Tdeg}(A) \geq I_V(A) \geq d$. Therefore $\text{Tdeg}(A) \geq \text{GKdim}(Z)$. \square

Corollary 5.2. *If an algebra A is a finite module over its center, then A is Tdeg -stable.*

Proof. Let Z be the center of A . For every localization $S^{-1}A$, Z is contained in the center of $S^{-1}A$. Since A is a finite module over Z , $\text{GKdim}(A) = \text{GKdim}(Z)$ [MR, 8.2.9 (ii)]. Hence, by Lemma 5.1, we have

$$\text{GKdim}(A) = \text{GKdim}(Z) \leq \text{Tdeg}(S^{-1}A).$$

By the remark after Definition 3.4, A is Tdeg -stable. \square

Now we are ready to show that semiprime Goldie PI algebras are Tdeg -stable. Note that a prime PI algebra is automatically Goldie [MR, 13.6.6(i)].

Theorem 5.3. *Every semiprime Goldie PI algebra is Tdeg -stable.*

Proof. Let A be a semiprime Goldie PI algebra and let Q be the quotient algebra of A . By a result of W. S. Martindale [Ma, 2], Q is a PI algebra. Since Q is semisimple

artinian, namely, a finite direct sum of simple algebras, by Kaplansky's theorem (see [MR, 13.3.8]) Q is a finite module over its center. Hence by Corollary 5.2, Q is Tdeg-stable, whence

$$\text{GKdim}(A) \leq \text{GKdim}(Q) = \text{Tdeg}(Q).$$

By Proposition 3.5(2), A is Tdeg-stable. \square

In the rest of this section, we consider a class of algebras which are very close to being PI algebras. An algebra A is said to be a *locally PI* algebra if every finitely generated subalgebra of A is a PI algebra. Hence a PI algebra is a locally PI algebra and an affine locally PI algebra is a PI algebra. Every subalgebra of a locally PI algebra is again a locally PI algebra. By [SSW], algebras with $\text{GKdim} \leq 1$ are locally PI.

Let I be a directed set, i.e., for every pair of elements i, j in I , there is an l in I such that $l \geq i, j$. Suppose $\{A_i | i \in I\}$ is a set of subalgebras of A such that $A_i \subset A_j$ if and only if $i \leq j$ in I . An algebra A is said to be the union of subalgebras $\{A_i | i \in I\}$ if $A = \bigcup_{i \in I} A_i$. Since A is a union of finitely generated subalgebras, a locally PI algebra is a union of PI subalgebras.

Lemma 5.4. *Let I be a directed set and suppose A is the union of subalgebras $\{A_i | i \in I\}$.*

- (1) $\text{GKdim}(A) = \max_{i \in I} \{\text{GKdim}(A_i)\}$.
- (2) *If each A_i is an Ore domain, then so is A , and $Q(A) = \bigcup_{i \in I} Q(A_i)$.*
- (3) *Let $Z(A)$ and $Z(A_i)$ be the centers of A and A_i . Then*

$$Z(A) = \lim_{\longrightarrow i} \left[\bigcap_{j \geq i} Z(A_j) \right].$$

Proof. (1) and (2) are routine.

(3) It is obvious that $Z(A) \supset \bigcap_{j \geq i} Z(A_j)$ for all i . Now suppose $x \in Z(A)$. There is an i such that $x \in A_i$. Since $A \supset A_j$ for all j , $x \in Z(A_j)$ for all $j \geq i$. Thus $Z(A) = \lim_{\longrightarrow i} [\bigcap_{j \geq i} Z(A_j)]$. \square

Proposition 5.5. *Let I be a directed set and suppose that A is the union of subalgebras $\{A_i | i \in I\}$.*

- (1) *If A is a domain, then $\text{Tdeg}(A) \leq \max_{i \in I} \{\text{Tdeg}(A_i)\}$. Equality holds when the right-hand side is 0, 1 or 2.*
- (2) *If A is a Tdeg-stable domain, then $\text{Tdeg}(A) = \max_{i \in I} \{\text{Tdeg}(A_i)\}$.*

Note that there is a non-PI division algebra A such that $2 = \text{Tdeg}(A) < \max\{\text{Tdeg}(A_i)\}$. One can easily construct an example based on the algebras in Example 2.10.

Proof. (1) Let V be a subframe of A . Then there is an i such that $V \subset A_i$. Hence $I_V(A) \leq I_V(A_i)$, because $A_i \subset A$. Therefore $I_V(A) \leq \text{Tdeg}(A_i) \leq \max\{\text{Tdeg}(A_i)\}$, and this implies that $\text{Tdeg}(A) \leq \max\{\text{Tdeg}(A_i)\}$.

If $\max\{\text{Tdeg}(A_i)\}$ is 0 or 1, by Theorems 4.1 and 4.3, $\text{Tdeg}(A_i) = \text{GKdim}(A_i) \leq 1$ for all i and hence $\text{Tdeg}(A) = \text{GKdim}(A) \leq 1$. By Lemma 5.4(1), $\text{Tdeg}(A) =$

$\max\{\text{Tdeg}(A_i)\}$. If $\max\{\text{Tdeg}(A_i)\} = 2$, $\text{Tdeg}(A) \leq 2$. But by Theorem 4.3, $\text{Tdeg}(A) > 1$ and by Proposition 2.4, $\text{Tdeg}(A) = 2$.

(2) If A is a Tdeg-stable domain, then

$$\text{Tdeg}(A) \leq \max\{\text{Tdeg}(A_i)\} \leq \max\{\text{GKdim}(A_i)\} = \text{GKdim}(A) = \text{Tdeg}(A).$$

Therefore (2) follows. \square

Note that a prime locally PI algebra may not be Goldie. An easy example can be obtained by constructing $\lim_{n \rightarrow \infty} M_n(k)$ in a proper way.

Now we are ready to prove a version of Theorem 5.3 for locally PI algebras. For simplicity, we only consider locally PI domains.

Theorem 5.6. *Every locally PI domain A is Ore and Tdeg-stable, and the quotient division algebra $Q(A)$ is locally PI with $\text{GKdim}(Q(A)) = \text{GKdim}(A)$.*

Proof. A locally PI algebra A is a union of PI subalgebras $\{A_i\}$. Since every PI domain A_i is Ore [MR, 13.6.6], by Lemma 5.4(2), A is an Ore domain and $Q(A)$ is the union of $\{Q(A_i)\}$. Consequently $Q(A)$ is a locally PI algebra. Since the PI algebras A_i and $Q(A_i)$ are Tdeg-stable [Theorem 5.3],

$$\text{GKdim}(Q(A_i)) = \text{Tdeg}(Q(A_i)) = \text{GKdim}(A_i).$$

By Lemma 5.4(1), $\text{GKdim}(Q(A)) = \text{GKdim}(A)$. It remains to prove that A is Tdeg-stable. Let V be a subframe of A and let b be a nonzero element of A . Since $k[bV]$ is finitely generated, $k[bV]$ is a PI domain. By [MR, 13.6.6], $k[bV]$ is an Ore domain and

$$\text{GKdim}(k[bV]) = \text{GKdim}(Q(k[bV])) \geq \text{GKdim}(k[V])$$

because $Q(k[bV]) \supset k[V]$. Therefore

$$\text{Tdeg}(A) = \sup_V \inf_b \text{GKdim}(k[bV]) = \sup_V \text{GKdim}(k[V]) = \text{GKdim}(A).$$

Applying the above to $Q(A)$ we obtain $\text{Tdeg}(Q(A)) = \text{GKdim}(Q(A))$. Hence $\text{Tdeg}(Q(A)) = \text{GKdim}(A)$, and by Proposition 3.5(2), A is Tdeg-stable. \square

Finally we give an example of a locally PI (but not PI) division algebra by constructing a chain of subalgebras. For convenience, in the following example we assume that the base field k is algebraically closed and $\text{char}(k) = 0$.

Example 5.7. There is a locally PI division algebra Q such that

- (1) $\text{Tdeg}(Q) = \text{GKdim}(Q) = 2$,
- (2) the center of Q is the base field k , and
- (3) Q is not a PI algebra.

Let r_n be a primitive 4^n -th root of 1 such that $r_n^4 = r_{n-1}$ for all $n > 0$. Let $C_n = k_{r_n}[x_n, y_n]$ with the relation $y_n x_n = r_n x_n y_n$ for all $n \geq 0$. Then $y_n^2 x_n^2 = r_{n-1} x_n^2 y_n^2$ for all n . Hence we can identify x_{n-1} with x_n^2 and y_{n-1} with y_n^2 for all n such that

$$C_0 \subset C_1 \subset \cdots \subset C_n \subset C_{n+1} \cdots$$

Let Q_n be the quotient algebra of C_n . Then $Q = \lim_{n \rightarrow \infty} Q_n$ satisfies the properties listed above. First, Q is a locally PI algebra because C_n and Q_n are PI algebras. To see (1) we note that $\text{GKdim}(Q) = 2$, and by Corollary 4.4(3) $\text{Tdeg}(Q) = 2$. (2) can be proved by using Lemma 5.4(3), and (3) is a consequence of (2). \square

6. FILTERED ALGEBRAS

In this section we will generalize the method used by Gelfand and Kirillov in their original paper [GK]. As an application we will re-prove their theorem and the Borho-Kraft theorem [Corollary 6.10].

Let us start by reviewing the definition of a filtered algebra. Let G be an ordered semigroup and let A be an algebra with a filtration $\{F_g | g \in G\}$ of subspaces of A . Suppose the filtration satisfies the following conditions:

- (f1) $F_g \subset F_h$ for all $g < h$ in G ;
 - (f2) $F_g F_h \subset F_{gh}$ for all $g, h \in G$;
- for every $g \in G$, we define $F_{<g}$ to be $\sum_{h < g} F_h$,
- (f3) $A = \bigcup_{g \in G} (F_g - F_{<g})$;
 - (f4) $1 \in F_e - F_{<e}$, where e is the unit of G .

Then we can define an associated graded algebra $gr(A) := \bigoplus_{g \in G} F_g / F_{<g}$ with the k -linear multiplication determined by $(a + F_{<g})(b + F_{<h}) = ab + F_{<gh}$ (see [KL, page 73] for the case $G = \mathbb{Z}$). For our purposes we also assume

- (f5) $gr(A)$ is a G -graded domain.

We define a map $\nu : A \rightarrow gr(A)$ by $\nu(a) = a + F_{<g}$ for all $a \in F_g - F_{<g}$. This map is called a *leading-term map*, and it is easy to see that

- (11) $\nu(t) = t$ for all $t \in k$;
- (12) $\nu(a) \neq 0$ for all $a \neq 0$.

By the definition of $gr(A)$ and (f5), we see that

- (13) $\nu(ab) = \nu(a)\nu(b)$ for all $a, b \in A$.

For every subspace V of A , we define $\nu(V) = \sum_{x \in V} k\nu(x)$. Hence $\nu(V)$ is a graded subspace of $gr(A)$. For every $g \in G$, let $V_{\leq g} = V \cap F_g$ and $V_{<g} = V \cap F_{<g}$. Then $V_{\leq g}$ and $V_{<g}$ are subspaces of V , and

$$V_{\leq g} \subset V_{<h} \subset V_{\leq h}$$

for all $g < h$. By the definition of ν we see that $\nu(V) = \bigoplus_g V_{\leq g} / V_{<g}$. Hence $\dim(\nu(V)) = \sum_g (\dim(V_{\leq g}) - \dim(V_{<g})) = \dim V$. Therefore the leading-term map ν satisfies

- (14) $\dim(\nu(V)) = \dim(V)$ for every subspace V of A .

Note that (11,2,4) will still hold, but (13) will fail, if (f5) fails.

Next we give some examples of filtered algebras which will be used later.

Example 6.1. G -graded domains.

Let G be an ordered semigroup. A G -graded domain A can be viewed naturally as a G -filtered algebra. For every $g \in G$, let F_g be $\bigoplus_{h \leq g} A_h$. Then $\{F_g | g \in G\}$ is a filtration and $gr(A) = A$. Since A is a domain, (f5) holds. The leading-term map is $\nu(a) = a_{g_n}$ for $a = a_{g_1} + a_{g_2} + \cdots + a_{g_n}$ with $0 \neq a_{g_i} \in A_{g_i}$ and $g_1 < g_2 < \cdots < g_n$. \square

Example 6.2. \mathbb{N} -filtered algebras.

Let A be a domain generated by a subframe V and let $F_0 = k$ and $F_i = V^i$ for all $i \geq 1$. Then $\{F_i | i \geq 0\}$ is a filtration of A . (We may add $F_n = 0$ for $n < 0$ to make this a \mathbb{Z} -filtration.) Suppose that the associated graded algebra $gr(A) := \bigoplus_i V^i / V^{i-1}$ is a domain. Then (f1,2,3,4,5) hold, and $\nu : a \mapsto a + V^{i-1}$ for all $a \in V^i - V^{i-1}$ is the leading-term map from A to $gr(A)$. Familiar examples are the Weyl algebras and universal enveloping algebras of finite dimensional Lie algebras. \square

Example 6.3. Descending chains of ideals.

Let $\{P_i | i \geq 0\}$ be a descending chain of ideals of A such that $P_i P_j \subset P_{i+j}$ and $\bigcap_i P_i = \{0\}$. Then $F_{-n} = P_n$ for $n > 0$ and $F_n = A$ for all $n \geq 0$ defines a filtration of A . The associated graded algebra is $\bigoplus_i P_i/P_{i+1}$ with natural multiplication. If $gr(A) = \bigoplus_i P_i/P_{i+1}$ is a domain, then the filtration satisfies (f1,2,3,4,5). For example, let x be a normal regular element of A such that $A/(x)$ is a domain and $\bigcap_i x^i A = \{0\}$. It is easy to check that $gr(A) := \bigoplus_{i \geq 0} x^i A/x^{i+1} A$ is isomorphic to $A/(x)[z, \sigma]$ where σ is the automorphism induced by the automorphism β of A determined by $ax = xa^\beta$. Hence $\{P_i := x^i A | i \geq 0\}$ is a descending chain of ideals satisfies the required conditions. \square

For our purpose of computing Tdeg for various algebras, we generalize the leading-term map to the following version which will be used in cases other than filtered algebras. Let A and B be algebras and let ν be a map from A to B (which may not be a k -linear map). We call ν a *valuation* from A to B if the following conditions hold

- (v1) $\nu(t) = t$ for all $t \in k$;
- (v2) $\nu(a) \neq 0$ for all nonzero $a \in A$;
- (v3) for any $a, b \in A$, $\nu(ab) = t\nu(a)\nu(b)$ for some nonzero scalar $t \in k$;
- (v4) for every subspace V of A ,

$$\dim(\nu(V)) = \dim(V),$$

where $\nu(V) := \sum_{x \in V} k\nu(x)$.

In fact we only need the following weak version (v4)' to prove all statements about valuation in this paper.

- (v4)' For every subspace V of A , $\dim(\nu(V)) \leq \dim(V)$.

Comparing (1,2,3,4) and (v1,2,3,4), we see that leading-term maps of filtered algebras are valuations. Other examples of valuations will be given in next two sections.

Lemma 6.4. *Let A and B be algebras and let ν be a valuation from A to B .*

- (1) $\nu(cV) = \nu(c)\nu(V)$ for all $c \in A$ and $V \subset A$.
- (2) $\nu(V+W) \supset \nu(V) + \nu(W)$ for all $V, W \subset A$.
- (3) $\nu(VW) \supset \nu(V)\nu(W)$ for all $V, W \subset A$.
- (4) $\dim(V^n) \geq \dim((\nu(V))^n)$ for all $V \subset A$ and all $n \geq 1$.

Proof. (1) $\nu(cV) = \sum_v k\nu(cv) = \sum_v k\nu(c)\nu(v) = \nu(c) \sum_v k\nu(v) = \nu(c)\nu(V)$.

(2) Since $V \subset V+W$, it follows that $\nu(V) \subset \nu(V+W)$, and then $\nu(V) + \nu(W) \subset \nu(V+W)$.

(3) Write $VW = \sum_v vW$; then (3) follows from (1) and (2).

(4) By (v4)', $\dim(V^n) \geq \dim(\nu(V^n))$ and by (3), $\dim(\nu(V^n)) \geq \dim((\nu(V))^n)$. Hence (4) follows from these inequalities. \square

Proposition 6.5. *Let A and B be algebras and let ν be a valuation from A to B . Suppose that S is an Ore set of regular elements of A and that $\nu(S) := \{\nu(x) | x \in S\}$ is an Ore set of regular elements of B . Then ν can be extended to a valuation from $S^{-1}A$ to $(\nu(S))^{-1}B$.*

Proof. First we define a map μ from $S^{-1}A$ to $(\nu(S))^{-1}B$ as follows. For every element $x \in S^{-1}A$, pick a pair of elements (s, a) such that $x = s^{-1}a$ and define

$$\mu(s^{-1}a) = \nu(s)^{-1}\nu(a).$$

It is routine to check that if $s^{-1}a = t^{-1}b$ then $\nu(s)^{-1}\nu(a)$ and $\nu(t)^{-1}\nu(b)$ differ by a nonzero scalar in k . By choosing (s, a) carefully we may define $\mu(a) = \nu(a)$ for all $a \in A$. Now (v1) and (v2) hold obviously. It remains to check (v3) and (v4). Suppose $x = a^{-1}b$ and $y = c^{-1}d$ in $S^{-1}A$. Since S is Ore, there are $f \in S$ and $g \in A$ such that $bc^{-1} = f^{-1}g$. Hence $fb = gc$ and $k\nu(f)\nu(b) = k\nu(g)\nu(c)$ as a 1-dimensional subspace. Then in B we have $k\nu(b)\nu(c)^{-1} = k\nu(f)^{-1}\nu(g)$. Therefore

$$\begin{aligned} k\mu(xy) &= k\mu(a^{-1}bc^{-1}d) = k\mu(a^{-1}f^{-1}gd) \\ &= k\nu(a)^{-1}\nu(f)^{-1}\nu(g)\nu(d) = k\nu(a)^{-1}\nu(b)\nu(c)^{-1}\nu(d) \\ &= k\mu(x)\mu(y). \end{aligned}$$

Thus (v3) holds. Let V be a finite dimensional subspace of $S^{-1}A$. Then there is an $s \in S$ such that $V = s^{-1}V_1$ and $V_1 \subset A$. Hence

$$\begin{aligned} \dim(\mu(V)) &= \dim(\mu(s^{-1}V_1)) = \dim(\mu(s^{-1})\mu(V_1)) \\ &= \dim(\nu(s)^{-1}\nu(V_1)) = \dim(\nu(V_1)) \\ &= \dim(V_1) = \dim(V). \end{aligned}$$

Therefore (v4) holds and μ is a valuation. \square

Corollary 6.6. *Let A and B be domains and let ν be a valuation from A to B . Suppose that S is an Ore set of A and that $\nu(x)$ is invertible in B for all $x \in S$. Then ν can be extended to a valuation from $S^{-1}A$ to B .* \square

Let ν be a valuation from A to B and suppose $\nu(A) = B$. Then for every subframe W of B , there is a subframe V of A such that $\nu(V) \supset W$. Thus we have

$$(6.6.1) \quad \text{GKdim}(B) = \sup_{V \subset A} \text{GKdim}(k[\nu(V)])$$

and

$$(6.6.2) \quad \text{Tdeg}(B) = \sup_{V \subset A} \inf_b \text{GKdim}(k[b\nu(V)]).$$

Theorem 6.7(1), below, is an analogue of [MR, 8.3.20].

Theorem 6.7. *Let A and B be domains and suppose ν is a valuation from A to B such that $\nu(A) = B$. Then*

- (1) $\text{GKdim}(A) \geq \text{GKdim}(B)$.
- (2) $\text{Tdeg}(A) \geq \text{Tdeg}(B)$.
- (3) *If $\nu(x)$ is invertible in B for all $x \in A - 0$, then $\text{Tdeg}(Q(A)) \geq \text{Tdeg}(B)$.*

Proof. (1) By definition, $\text{GKdim}(A) = \sup_{V \subset A} \text{GKdim}(k[V])$. By Lemma 6.4(4), we have $\dim(V^n) \geq \dim(\nu(V)^n)$. By (6.6.1), we obtain $\text{GKdim}(A) \geq \text{GKdim}(B)$.

- (2) By definition, $\text{Tdeg}(A) = \sup_{V \subset A} \inf_a \text{GKdim}(k[aV])$. By Lemma 6.4,

$$\dim((k + aV)^n) \geq \dim((\nu(k + aV))^n) \geq \dim((k + \nu(a)\nu(V))^n).$$

Hence $\text{GKdim}(k[aV]) \geq \text{GKdim}(k[\nu(a)\nu(V)]) \geq I_{\nu(V)}(B)$. Therefore $I_V(A) \geq I_{\nu(V)}(B)$ for all subframes $V \subset A$. By (6.6.2), we have $\text{Tdeg}(A) \geq \text{Tdeg}(B)$.

- (3) By Corollary 6.6, there is a valuation from $Q(A)$ to B . Replacing A by $Q(A)$ in (2), we obtain (3). \square

Theorem 6.8. *Let A and B be domains and let $\nu : A \longrightarrow B$ be a valuation such that $\nu(A) = B$. If $\text{GKdim}(A) = \text{GKdim}(B) < \infty$ and B is Tdeg-stable, then A is Tdeg-stable.*

Proof. Let $Q(A)$ be the quotient algebra of A and $Q(B)$ the quotient algebra of B . Since ν is a valuation from A to B , ν is also a valuation from A to $Q(B)$. By Corollary 6.6, an extension of ν , still denoted by ν , is a valuation from $Q(A)$ to $Q(B)$. Let C denote the vector space $\nu(Q(A))$. Since every element in C is of the form $\sum a_i \nu(x_i)$, C is an algebra between B and $Q(B)$. By Theorem 6.7(2), $\text{Tdeg}(Q(A)) \geq \text{Tdeg}(C)$ and by Proposition 3.1(3), $\text{Tdeg}(C) \geq \text{Tdeg}(Q(B))$. Since B is Tdeg-stable and $\text{GKdim}(A) = \text{GKdim}(B)$, we have

$$\text{Tdeg}(Q(A)) \geq \text{Tdeg}(C) \geq \text{Tdeg}(Q(B)) = \text{GKdim}(B) = \text{GKdim}(A).$$

By Proposition 3.5(2), A is Tdeg-stable. \square

Now we are ready to prove Gelfand-Kirillov's Theorem [Theorem 2.9] and Borho-Kraft's Theorem [BK, 6.5].

Corollary 6.9. *The following algebras are Tdeg-stable.*

(1) *Any algebra A generated by a subframe V such that $gr(A) := \bigoplus_{i \geq 0} V^i / V^{i-1}$ is a Tdeg-stable domain.*

(2) *Any algebra A generated by a subframe V such that $gr(A) := \bigoplus_{i \geq 0} V^i / V^{i-1}$ is a commutative domain.*

(3) *(Gelfand-Kirillov) The n -th Weyl algebra A_n .*

(4) *(Borho-Kraft) The universal enveloping algebra $U(L)$ of a Lie algebra L .*

Proof. (1) By Example 6.2, there is a valuation ν from A to $gr(A)$ and $\nu(A) = gr(A)$. Since $gr(A)$ is a domain and $gr(A)$ is finitely generated (by $\nu(V)$), $\text{GKdim}(A) = \text{GKdim}(gr(A))$ [MR, 8.6.5]. Since $gr(A)$ is Tdeg-stable, by Theorem 6.8 A is Tdeg-stable.

(2) is a special case of (1) because commutative algebras are Tdeg-stable, and (3) and (4) are consequences of (2) by using the standard filtration of A_n given in [KL, 7.3] and the standard filtration of $U(L)$ given in [KL, 6.8]. \square

Finally we state the following proposition, which can be proved by combining Theorems 6.7 and 6.8 and Example 6.3.

Proposition 6.10. *Let A be an algebra and let x be a normal regular element of A such that (a) $\bigcap_i x^i A = \{0\}$ and (b) $A/(x)$ is a domain. Suppose that σ is the automorphism described in Example 6.3. Then*

(1) $\text{GKdim}(A) \geq \text{GKdim}(A/(x)[z, \sigma])$.

(2) $\text{Tdeg}(A) \geq \text{Tdeg}(A/(x)[z, \sigma])$.

(3) *If $\text{GKdim}(A) = \text{GKdim}(A/(x)[z, \sigma])$ and $A/(x)[z, \sigma]$ is Tdeg-stable, then A is Tdeg-stable.* \square

7. SOME QUANTIZED ALGEBRAS

In this section we will compute Tdeg of several quantized algebras related to quantum groups. We start with skew polynomial algebras.

Example 7.1. Skew polynomial algebras and valuations.

Let $\{p_{ij} | 1 \leq i < j \leq n\}$ be a set of nonzero scalars in k . The skew polynomial algebra $k_{p_{ij}}[x_1, \dots, x_n]$ is generated by $\{x_1, \dots, x_n\}$ subject to the relations $x_j x_i = p_{ij} x_i x_j$ for all $i < j$. The set of ordered monomials $\{x_1^{l_1} \cdots x_n^{l_n} | (l_1, \dots, l_n) \in \mathbb{N}^n\}$ is a k -linear basis of $k_{p_{ij}}[x_1, \dots, x_n]$. Let Id denote the k -linear isomorphism defined by sending the ordered monomials of $k_{p_{ij}}[x_1, \dots, x_n]$ to the ordered monomials of the commutative polynomial algebra $k[x_1, \dots, x_n]$ identically. In this sense, $k_{p_{ij}}[x_1, \dots, x_n]$ and $k[x_1, \dots, x_n]$ are the same as a vector space. For every two monomials $x_1^{l_1} \cdots x_n^{l_n}$ and $x_1^{w_1} \cdots x_n^{w_n}$, we have

$$\begin{aligned}
 (7.1.1) \quad Id(x_1^{l_1} \cdots x_n^{l_n} \cdot x_1^{w_1} \cdots x_n^{w_n}) &= Id\left(\prod_{j>i} p_{ij}^{l_j w_i} x_1^{l_1+w_1} \cdots x_n^{l_n+w_n}\right) \\
 &= \prod_{j>i} p_{ij}^{l_j w_i} Id(x_1^{l_1+w_1} \cdots x_n^{l_n+w_n}) \\
 &= \prod_{j>i} p_{ij}^{l_j w_i} Id(x_1^{l_1} \cdots x_n^{l_n}) Id(x_1^{w_1} \cdots x_n^{w_n}).
 \end{aligned}$$

The algebra $k_{p_{ij}}[x_1, \dots, x_n]$ is a \mathbb{Z}^n -graded domain with $\deg(x_i) = e_i$. Recall that $e_i = (0, \dots, 1, \dots, 0)$ where 1 is in the i -th position. Since \mathbb{Z}^n is an ordered group [Pa, 13.1.6], by Example 6.1, there is a leading-term map ν_p from $k_{p_{ij}}[x_1, \dots, x_n]$ to itself. Since Id is the identity map of the vector space, $Id \circ \nu_p$ satisfies (v1,2,4). Note that $\nu_p(a)$ is a monomial for every nonzero element a . The computation (7.1.1) shows that (v3) holds for $Id \circ \nu_p$. Therefore $\mu := Id \circ \nu_p$ is a valuation from $k_{p_{ij}}[x_1, \dots, x_n]$ to $k[x_1, \dots, x_n]$. Conversely, by Example 6.1, there is a leading-term map ν_1 from $k[x_1, \dots, x_n]$ to itself. A similar argument shows that $\alpha := Id^{-1} \circ \nu_1$ is a valuation from $k[x_1, \dots, x_n]$ to $k_{p_{ij}}[x_1, \dots, x_n]$. \square

Those two valuations in Example 7.1 allow us to prove the following proposition, which is a special case of Lorenz's result [Lo, 2.2]

Proposition 7.2 (Lorenz). *The following algebras are Tdeg-stable.*

- (1) Skew polynomial algebra $k_{p_{ij}}[x_1, \dots, x_n]$.
- (2) Skew Laurent polynomial algebra $k_{p_{ij}}[x_1, x_1^{-1}, \dots, x_n, x_n^{-1}]$.

Proof. (1) Let μ and α be the valuations described in Example 7.1. It is easy to check that $\mu(k_{p_{ij}}[x_1, \dots, x_n]) = k[x_1, \dots, x_n]$ and that $\alpha(k[x_1, \dots, x_n]) = k_{p_{ij}}[x_1, \dots, x_n]$. By using Theorem 6.7(1) twice, we obtain that

$$\text{GKdim}(k_{p_{ij}}[x_1, \dots, x_n]) = \text{GKdim}(k[x_1, \dots, x_n]).$$

Since the commutative algebra $k[x_1, \dots, x_n]$ is Tdeg-stable, by Theorem 6.8, so is $k_{p_{ij}}[x_1, \dots, x_n]$.

(2) The set of all monomials is an Ore set of both the skew and commutative polynomial algebras. By Proposition 6.5 μ and α can be extended to valuations between the localizations $k_{p_{ij}}[x_1, x_1^{-1}, \dots, x_n, x_n^{-1}]$ and $k[x_1, x_1^{-1}, \dots, x_n, x_n^{-1}]$. An argument similar to the proof of (1) shows that $k_{p_{ij}}[x_1, x_1^{-1}, \dots, x_n, x_n^{-1}]$ is Tdeg-stable. \square

Next we study other deformations of commutative polynomial algebras, a large class of which will be in the following category. We use the lexicographical order on \mathbb{Z}^n with $\deg(e_i) < \deg(e_j)$ for $i < j$. An algebra A is called a *filtered skew polynomial algebra* of n variables if there is a set of generators $\{x_1, \dots, x_n\}$ of A such that the following three conditions hold.

(q1) The set of monomials $\{x_1^{l_1} \cdots x_n^{l_n} | (l_1, \dots, l_n) \in \mathbb{N}^n\}$ is a k -linear basis of A . We define $\deg(x_1^{l_1} \cdots x_n^{l_n}) = (l_1, \dots, l_n)$ and

$$F_{(l_1, \dots, l_n)} = \{\text{all linear combinations of the monomials of degree } \leq (l_1, \dots, l_n)\}.$$

(q2) $\{F_{(l_1, \dots, l_n)} | (l_1, \dots, l_n) \in \mathbb{N}^n\}$ is a filtration of A .

(q3) The associated graded algebra $gr(A)$ is isomorphic to a skew polynomial algebra.

It is not difficult to check that the Weyl algebras are filtered skew polynomial algebras.

Theorem 7.3. *Let A be a filtered skew polynomial algebra of n variables.*

- (1) $\text{GKdim}(A) \geq n$.
- (2) $\text{Tdeg}(A) \geq n$.
- (3) *If $\text{GKdim}(A) = n$, then A is Tdeg-stable.*

Proof. By definition, A is an \mathbb{N}^n -filtered algebra with the associated graded algebra being $k_{p_{ij}}[x_1, \dots, x_n]$. By Proposition 7.2(1) $k_{p_{ij}}[x_1, \dots, x_n]$ is a Tdeg-stable domain of GKdim n . Since the leading-term map is a valuation, (1) and (2) follow from Theorem 6.7 and hence (3) follows from Theorem 6.8. \square

As a consequence of Theorem 7.3(3), if A and B are two filtered skew polynomial algebras of n and m variables respectively and if $\text{GKdim}(A) = n$ and $\text{GKdim}(B) = m$, then the quotient division algebras of A and B are not isomorphic if $n \neq m$. We will study three examples of filtered skew polynomial algebras which are related to the recent study of quantum groups.

Example 7.4. Quantum matrix algebras $M_{q, p_{ij}}(n)$ and quantum groups $GL_{q, p_{ij}}(n)$ are Tdeg-stable.

For details of $M_{q, p_{ij}}(n)$ and $GL_{q, p_{ij}}(n)$ we refer to the papers [AST] and [Su]. Given a set of nonzero scalars $\{q\} \cup \{p_{ij} | 1 \leq i < j \leq n\}$, the quantum matrix algebra $M_{q, p_{ij}}(n)$ is generated by $\{x_{ij} | 1 \leq i, j \leq n\}$ subject to the relations

$$(7.4.1) \quad \begin{aligned} x_{it}x_{is} &= qp_{st}^{-1}x_{is}x_{it} & \forall s < t, \\ x_{js}x_{is} &= qp_{ij}x_{is}x_{js} & \forall i < j, \\ x_{it}x_{js} &= p_{st}^{-1}p_{ij}^{-1}x_{js}x_{it} & \forall i < j, s < t, \\ x_{is}x_{jt} &= p_{st}p_{ij}^{-1}x_{jt}x_{is} + p_{ij}^{-1}(q - q^{-1})x_{js}x_{it} & \forall i < j, s < t. \end{aligned}$$

By [AST, 2] $M_{q, p_{ij}}(n)$ is a left and right noetherian domain. It is also an iterated Ore extension of the base field k . If we define $\deg(x_{ij}) = e_{n(i-1)+j} \in \mathbb{N}^{n^2}$, then the ordered monomials form a k -linear basis of $M_{q, p_{ij}}(n)$. By using the relations (7.4.1) one can check that $M_{q, p_{ij}}(n)$ is an \mathbb{N}^{n^2} -filtered algebra such that the associated

graded algebra is isomorphic to the skew polynomial algebra generated by $\{x_{ij} | 1 \leq i, j \leq n\}$ subject to the relations

$$\begin{aligned} x_{it}x_{is} &= qp_{st}^{-1}x_{is}x_{it} & \forall s < t, \\ x_{js}x_{is} &= qp_{ij}x_{is}x_{js} & \forall i < j, \\ x_{it}x_{js} &= p_{st}^{-1}p_{ij}^{-1}x_{js}x_{it} & \forall i < j, s < t, \\ x_{is}x_{jt} &= p_{st}p_{ij}^{-1}x_{jt}x_{is} & \forall i < j, s < t. \end{aligned}$$

Since the relations (7.4.1) are quadratic, $M_{q,p_{ij}}(n)$ is connected \mathbb{N} -graded and generated by elements $\{x_{ij} | 1 \leq i, j \leq n\}$ of degree 1. By [AST, 1] $M_{q,p_{ij}}(n)$ and the polynomial algebra of n^2 variables have the same Hilbert function. As a consequence, $\text{GKdim}(M_{q,p_{ij}}(n)) = n^2$. By Theorem 7.3(3), $M_{q,p_{ij}}(n)$ is Tdeg-stable.

The quantum determinant D of $M_{q,p_{ij}}(n)$ is a normal element of degree n . Let σ be the automorphism of $M_{q,p_{ij}}(n)$ determined by $aD = Da^\sigma$. An automorphism α of A is said to be *locally algebraic* if for every $a \in A$ the set $\{\alpha^n(a)\}$ is contained in a finite dimensional subspace of A [LMO, p. 208]. Since $M_{q,p_{ij}}(n)$ is \mathbb{N} -graded locally finite and D is homogeneous, the automorphism σ is locally algebraic. The quantum group $GL_{q,p_{ij}}(n)$ is defined to be the localization $M_{q,p_{ij}}(n)[D^{-1}]$. By [LMO, Th. 2], $\text{GKdim}(GL_{q,p_{ij}}(n)) = \text{GKdim}(M_{q,p_{ij}}(n))$. By definition, the quotient algebras of $M_{q,p_{ij}}(n)$ and $GL_{q,p_{ij}}(n)$ are the same. Therefore

$$\text{Tdeg}(Q(GL_{q,p_{ij}}(n))) = \text{Tdeg}(Q(M_{q,p_{ij}}(n))) = n^2 = \text{GKdim}(GL_{q,p_{ij}}(n)).$$

By Proposition 3.5(2), $GL_{q,p_{ij}}(n)$ is Tdeg-stable. \square

Example 7.5. The quantum Weyl algebras $A_n(q, p_{ij})$ are Tdeg-stable.

For details of $A_n(q, p_{ij})$ see [GZ]. Given a set of nonzero scalars $\{q\} \cup \{p_{ij} | 1 \leq i < j \leq n\}$, the quantum Weyl algebra $A_n(q, p_{ij})$ is generated by $\{x_1, \dots, x_n\} \cup \{\partial_1, \dots, \partial_n\}$ subject to the relations

$$\begin{aligned} x_i x_j &= p_{ij} q x_j x_i & \forall i < j, \\ \partial_i \partial_j &= p_{ij} q^{-1} \partial_j \partial_i & \forall i < j, \\ \partial_i x_j &= p_{ij}^{-1} q x_j \partial_i & \forall i \neq j, \\ \partial_i x_i &= 1 + q^2 x_i \partial_i + (q^2 - 1) \sum_{j>i} x_j \partial_j & \forall i. \end{aligned}$$

Define $\deg(x_i) = n + 1 - i$ and $\deg(\partial_i) = 2n + 1 - i$. By [GZ, 1.5] and easy computations, $A_n(q, p_{ij})$ is a filtered skew polynomial algebra, and by [GZ, 3.10.3], $\text{GKdim}(A_n(q, p_{ij})) = 2n$. By Theorem 7.3(3), $A_n(q, p_{ij})$ is Tdeg-stable. \square

Example 7.6. The quantum universal enveloping algebra $U_q(sl_2)$ is Tdeg-stable.

There are two versions of $U_q(sl_2)$. The first version is Jimbo's quantum group $U_q(sl_2)$ [Ji], which is an algebra generated by $\{e, f, h, h^{-1}\}$ subject to the relations

$$\begin{aligned} (7.6.1) \quad hh^{-1} &= 1 = h^{-1}h, \\ he &= q^2 eh, \\ hf &= q^{-2} fh, \\ ef - fe &= \frac{h - h^{-1}}{q - q^{-1}}, \end{aligned}$$

where $q \in k - \{0, -1, 1\}$. The quantum algebra $U_q(sl_2)$ can be expressed as an Ore extension $k_{q^{-2}}[h, e][f, \sigma, \delta]$, where σ is an automorphism sending h to $q^{-2}h$ and e to e and where δ is a σ -derivation sending h to 0 and e to $\frac{h-h^{-1}}{q-q^{-1}}$. Let $f' = fh$. Then the relations (7.6.1.) become

$$(7.6.2) \quad \begin{aligned} he &= q^2 eh, \\ hf' &= q^{-2} f' h, \\ ef' - q^{-2} f' e &= \frac{h^2 - 1}{q - q^{-1}}. \end{aligned}$$

It is easy to see that the algebra, say U , generated by $\{e, f', h\}$ subject to relations (7.6.2) is a filtered skew polynomial algebra with $\deg(h) = (1, 0, 0)$, $\deg(e) = (0, 1, 0)$ and $\deg(f) = (0, 0, 1)$. By a direct computation we see that $\text{GKdim}(U) = 3$. Hence by Theorem 7.3(3), U is Tdeg-stable. Now $U_q(sl_2)$ is a localization $U[h^{-1}]$, and, by [LMO, Th. 2], $\text{GKdim}(U_q(sl_2)) = \text{GKdim}(U) = 3$. Hence

$$\text{Tdeg}(Q(U_q(sl_2))) = \text{Tdeg}(Q(U)) = 3 = \text{GKdim}(U_q(sl_2)).$$

Therefore $U_q(sl_2)$ is Tdeg-stable.

The second version of the quantum universal enveloping algebra of sl_2 was studied in [JZ]. Given $q \in k - \{0\}$, the quantum universal enveloping algebra $U'_q(sl_2)$ is generated by $\{e, f, h\}$ subject to the relations

$$\begin{aligned} qhe - eh &= 2e, \\ hf - qfh &= -2f, \\ ef - qfe &= h + \frac{1-q}{4}h^2. \end{aligned}$$

Define $\deg(h) = (1, 0, 0)$, $\deg(e) = (0, 1, 0)$ and $\deg(f) = (0, 0, 1)$. Then this $U'_q(sl_2)$ is a filtered skew polynomial algebra of three variables. By [JZ, 3.7], $\text{GKdim}(U'_q(sl_2)) = 3$, and by Theorem 7.3(3), $U'_q(sl_2)$ is Tdeg-stable. \square

8. GRADED ALGEBRAS

In this section we will discuss some properties of Tdeg concerning G -graded algebras. Except for Theorem 8.10, we assume that G is an ordered semigroup. Note that every finitely generated torsion-free nilpotent group is ordered [Pa, 13.1.6]. First let us state a nice result of Lorenz [Lo, 2.2] without proof. For the definitions of twisted group algebra and crossed product see [MR, 1.5.9].

Theorem 8.1 (Lorenz). *Let G be a finitely generated torsion-free nilpotent group of class at most 2 and let $k * G$ be a twisted group algebra. Then $k * G$ is Tdeg-stable.* \square

Proposition 8.2. *Let G be an ordered semigroup and let A be a G -graded domain. Then*

$$\text{Tdeg}(A) = \sup_V \inf_b \text{GKdim}(k[bV]),$$

where V ranges over all graded subframes of A and b ranges over all non-zero homogeneous elements of A .

Proof. By Example 6.1, the leading-term map ν is a valuation from A to A . By the proof of Theorem 6.7(2), we have $\text{GKdim}(k[aV]) \geq \text{GKdim}(k[\nu(a)\nu(V)])$. If we let

$V = \nu_h(W)$, then $V = \nu_h(V)$. Hence $I_V(A) = \inf_{\nu(a)} \text{GKdim}(k[\nu(a)V])$. Therefore the statement follows from (6.6.2). \square

For every graded subframe V of A we denote

$$I_V^{gr}(A) = \inf_b \text{GKdim}(k[bV])$$

where b ranges over the homogeneous regular elements of A . By the proof of Proposition 8.2, if G is an ordered semigroup and A is a G -graded domain, then $I_V^{gr}(A) = I_V(A)$.

If G is a group, a G -graded division algebra is isomorphic to a crossed product $D * G'$ for some division algebra D and some subgroup $G' \subset G$ [NV1, I4.2.3]. The next proposition is a consequence of Theorem 6.7(3), which is also a consequence of [Lo, 1.2].

Proposition 8.3. *Suppose that G is an ordered group and D is a division algebra. Let $A = D * G$ be a crossed product of D by G and let $Q(A)$ be the quotient algebra of A . Then $\text{Tdeg}(Q(A)) = \text{Tdeg}(A)$.*

Proof. Since G is ordered, A is a domain. By Example 6.1, the leading-term map ν is a valuation from A to A . For every nonzero element $x \in A$, $\nu(x)$ is invertible because $\nu(x)$ is homogeneous. By Theorem 6.7(3) and Proposition 3.1(3), $\text{Tdeg}(Q(A)) = \text{Tdeg}(A)$. \square

The next example is an analogue of Example 7.1.

Example 8.4. Twisted group algebras and valuations.

Let G be an ordered semigroup. Suppose $k * G$ is a twisted group algebra. We can identify $k * G$ with the group algebra $k[G]$ as a vector space and let $\text{Id}_G : k * G \rightarrow k[G]$ be the identity map of the vector space. Let D be a domain, $A = D \otimes k * G$ and $B = D \otimes k[G]$. By Example 6.1, the leading-term map ν is a valuation from A to A . It is easy to check that $\mu := (\text{id}_D \otimes \text{Id}_G) \circ \nu$ is a valuation from A to B (see Example 7.1 for a special case). Similarly, let ν_1 be the leading-term map of $B = D \otimes k[G]$ defined in Example 6.1; then $\alpha := (\text{id}_D \otimes \text{Id}_G^{-1}) \circ \nu_1$ is a valuation from B to A . \square

By using these valuations we can show the following proposition.

Proposition 8.5. *Let G be an ordered semigroup and let D be a domain. Then*

- (1) $\text{GKdim}(D \otimes k * G) = \text{GKdim}(D \otimes k[G])$.
- (2) $\text{Tdeg}(D \otimes k * G) = \text{Tdeg}(D \otimes k[G])$.
- (3) *Let G be an ordered group. Then $\text{Tdeg}(Q(D \otimes k * G)) = \text{Tdeg}(Q(D \otimes k[G]))$. As a consequence, if $D \otimes k[G]$ is Tdeg-stable, then so is $D \otimes k * G$.*
- (4) $\text{Tdeg}(D \otimes k * G) \leq \text{Tdeg}(D) + \text{Tdeg}(k * G)$.

Proof. (1) and (2). By Example 8.4, μ is a valuation from $A = D \otimes k * G$ to $B = D \otimes k[G]$. It is easy to see that A and B are domains and $\mu(A) = B$. By Theorems 6.7(1) and 6.7(2), $\text{GKdim}(A) \geq \text{GKdim}(B)$ and $\text{Tdeg}(A) \geq \text{Tdeg}(B)$. Since α is a valuation from B to A , we have $\text{GKdim}(A) \leq \text{GKdim}(B)$ and $\text{Tdeg}(A) \leq \text{Tdeg}(B)$. Therefore (1) and (2) are proven.

(3) A consequence of (2) and Proposition 8.3.

As a consequence of (1) and (3), if $D \otimes k[G]$ is Tdeg-stable, then $D \otimes k * G$ is Tdeg-stable.

(4) By (2), it suffices to show $\text{Tdeg}(D \otimes k[G]) \leq \text{Tdeg}(D) + \text{Tdeg}(k[G])$. By Proposition 8.2, only graded subframes V and homogeneous elements b need to be considered. For every homogeneous element $b \in D \otimes k[G]$, there is an element $c \in D$ and $g \in G$ such that $b = c \otimes g$. For every subframe $W \subset D \otimes k[G]$, there is a subframe $V \subset D$ and a finite subset $H \subset G$ such that $W \subset VH$. Hence

$$\begin{aligned} I_W(D \otimes k[G]) &\leq I_{VH}^{gr}(D \otimes k[G]) = \inf_{c \otimes g} \text{GKdim}(k[(c \otimes g)VH]) \\ &\leq \inf_{c \otimes g} \text{GKdim}(k[cV] \otimes k[gH]) \\ &\leq \inf_{c \otimes g} \{\text{GKdim}(k[cV]) + \text{GKdim}(k[gH])\} \\ &= I_V(D) + I_{kH}^{gr}(k[G]) \leq \text{Tdeg}(D) + \text{Tdeg}(k[G]). \end{aligned}$$

Since W is arbitrary, $\text{Tdeg}(D \otimes k[G]) \leq \text{Tdeg}(D) + \text{Tdeg}(k[G])$. \square

By using Proposition 8.2 we can also prove the following.

Proposition 8.6. *Let G be an ordered semigroup and let N be a normal subsemigroup of G such that G/N is a finite group. Suppose that A is a G -graded domain and let $A^{(N)} = \bigoplus_{g \in N} A_g$. Then $\text{Tdeg}(A) \geq \text{Tdeg}(A^{(N)})$.*

Proof. Let V be a graded subframe of $A^{(N)}$. For every homogeneous element b of A , $b^n \in A^{(N)}$ for some n because G/N is finite. Hence

$$I_V^{gr}(A) = \inf_b \text{GKdim}(k[bV]) \geq \inf_{b^n} \text{GKdim}(k[b^nV]) \geq I_V^{gr}(A^{(N)}).$$

Since V is arbitrary, $\text{Tdeg}(A) \geq \text{Tdeg}(A^{(N)})$. \square

In the next example valuations are not defined by filtrations on algebras.

Example 8.7. Other valuations of graded algebras.

Let G be an ordered semigroup and let A be a G -graded domain. Suppose that I is an ideal of A containing no homogeneous elements. Let f be the canonical algebra homomorphism from A to its factor algebra A/I . It is easy to see that $f \circ \nu$ is a valuation from A to A/I , where ν is the leading-term map of A . This kind of valuation satisfies (v1,2,3) and (v4)' but not (v4). Here are two special cases.

(1) Let A be a domain and let $A[G]$ be the group algebra. Let N be a normal subgroup and let I be the ideal generated by $\{g - 1 | g \in N\}$. Then I contains no homogeneous elements of A and $A/I \cong A[G/N]$.

(2) Let A be a \mathbb{Z} -graded domain and let x be a central element of degree not zero. Then the ideal I generated by $1 - x$ contains no homogeneous elements. \square

Example 8.7 leads to the following proposition.

Proposition 8.8. *Let G be an ordered semigroup and let A be a G -graded domain. Suppose that I is an ideal of A containing no homogeneous elements and suppose that the images of nonzero homogeneous elements are regular in A/I . Then $\text{Tdeg}(A) \geq \text{Tdeg}(A/I) + 1$.*

Proof. Let f be the canonical algebra homomorphism from A to A/I . Since f is surjective, for every subframe $V \subset A/I$, there is a graded subframe $W \subset A$ such

that $f(W) \supset V$. Replacing W by a larger subframe if necessary, we may assume $W \cap I \neq 0$. Hence for every nonzero homogeneous element b , $k[bW] \cap I \neq 0$. Thus

$$\mathrm{GKdim}(k[bW]) \geq \mathrm{GKdim}(k[f(b)f(W)]) + 1 \geq I_{f(W)}(A/I) + 1 \geq I_V(A/I) + 1.$$

Since b and V are arbitrary, $\mathrm{Tdeg}(A) \geq \mathrm{Tdeg}(A/I) + 1$. \square

Mimicking the proof of Proposition 8.8, the following can be obtained.

Proposition 8.9. *If $f : A \rightarrow B$ is an algebra epimorphism such that $f(b)$ is regular for every regular element b of A . Then $\mathrm{Tdeg}(A) \geq \mathrm{Tdeg}(B)$.* \square

Theorem 8.10. *If G is a finite group, then $\mathrm{Tdeg}(A[G]) = \mathrm{Tdeg}(A)$.*

Proof. Let $f : A[G] \rightarrow A$ be the map defined by sending g to 1 for all $g \in G$. Then f is an algebra epimorphism. Suppose $b = \sum a_g \otimes g$ is a regular element of $A[G]$. We claim that $f(b) = \sum a_g$ is regular in A . If not, say $f(b)$ not right regular, then there is an element $c \in A$ such that $(\sum a_g)c = 0$. Then $b(\sum c \otimes g) = (\sum a_g)c \otimes (\sum g) = 0$. This contradicts the regularity of b . By Proposition 8.9, $\mathrm{Tdeg}(A[G]) \geq \mathrm{Tdeg}(A)$.

Conversely, consider a subframe $W \subset A[G]$. We may assume $W = VG$ for some subframe V of A . Every regular element b of A is also regular in $A[G]$. It is easy to see that

$$k[bV] \subset k[bVG] \subset k[bV][G].$$

Hence $\mathrm{GKdim}(k[bVG]) = \mathrm{GKdim}(k[bV])$ and

$$I_{VG}(A[G]) \leq \inf_{b \in A} \mathrm{GKdim}(k[bVG]) \leq \inf_{b \in A} \mathrm{GKdim}(k[bV]) = I_V(A).$$

Since $W = VG$ is arbitrary, $\mathrm{Tdeg}(A[G]) \leq \mathrm{Tdeg}(A)$. \square

9. POLYNOMIAL EXTENSIONS

In this section we will discuss the relationship between $\mathrm{Tdeg}(A[x, \sigma, \delta])$ and $\mathrm{Tdeg}(A)$ for some special σ and δ .

Given an automorphism σ and a σ -derivation δ of A , the algebra $A[x, \sigma, \delta]$ is a polynomial extension of A satisfying $ax = x\sigma(a) + \delta(a)$ for all $a \in A$ (see [MR, Sec. 1.2]). If $\delta = 0$, then x is a regular normal element and the Laurent polynomial algebra $A[x, x^{-1}, \sigma]$ is obtained by inverting the regular element x in $A[x, \sigma]$ (see [MR, Sec. 1.4]). By [LMO, Lemma 1], $\mathrm{GKdim}(A[x, \sigma]) \geq \mathrm{GKdim}(A) + 1$. Since $A[x, \sigma]$ is a subalgebra of $A[x, x^{-1}, \sigma]$, we have

$$\mathrm{GKdim}(A[x, x^{-1}, \sigma]) \geq \mathrm{GKdim}(A[x, \sigma]) \geq \mathrm{GKdim}(A) + 1.$$

A natural filtration of $A[x, \sigma, \delta]$ is defined by $F_n = \{a_0 + a_1x + \cdots + a_nx^n \mid a_i \in A\}$ for all $n \in \mathbb{N}$, and the associated graded algebra of $A[x, \sigma, \delta]$ is isomorphic to $A[x, \sigma]$. By [MR, 8.3.20],

$$\mathrm{GKdim}(A[x, \sigma, \delta]) \geq \mathrm{GKdim}(A[x, \sigma]) \geq \mathrm{GKdim}(A) + 1.$$

There are examples with $\mathrm{GKdim}(A[x, \sigma]) > \mathrm{GKdim}(A) + 1$ (see [MR, 8.2.16] or Example 2.3(1)) and with $\mathrm{GKdim}(A[x, \delta]) > \mathrm{GKdim}(A) + 1$ [KL, 3.9]. However in some special cases the equality holds. A subspace $V \subset A$ is called σ -stable (or δ -stable), if $\sigma(V) \subset V$ (or $\delta(V) \subset V$). If every subframe $W \subset A$ is contained in a σ -stable subframe V , then σ is called locally algebraic (this definition is equivalent to the one given in Example 7.4).

Lemma 9.1. *Let σ be an automorphism of an algebra A and let δ be a σ -derivation of A .*

(1) *If σ is locally algebraic, then*

$$\mathrm{GKdim}(A[x, \sigma]) = \mathrm{GKdim}(A[x, x^{-1}, \sigma]) = \mathrm{GKdim}(A) + 1.$$

(2) *If every subframe W of A is contained in a σ -stable subframe V such that the subalgebra $k[V]$ is δ -stable, then*

$$\mathrm{GKdim}(A[x, \sigma, \delta]) = \mathrm{GKdim}(A) + 1.$$

Proof. (1) is [LMO, Prop. 1]. (2) is an analogue of [KL, 3.5]; we can copy the proof of that result without change by starting with a σ -stable subframe V of A . \square

If σ is the identity and δ is zero, Tdeg behaves like many other invariants.

Theorem 9.2. *Let A be a domain. Then*

(1)

$$\mathrm{Tdeg}(A[x, x^{-1}]) = \mathrm{Tdeg}(A[x]) = \mathrm{Tdeg}(A) + 1.$$

(2) *A is Tdeg-stable if and only if $A[x]$ (or $A[x, x^{-1}]$) is Tdeg-stable.*

Proof. (1) By Proposition 3.1(3), $\mathrm{Tdeg}(A[x, x^{-1}]) \leq \mathrm{Tdeg}(A[x])$. Hence we need to show:

(a) $\mathrm{Tdeg}(A[x, x^{-1}]) \geq \mathrm{Tdeg}(A) + 1$, and

(b) $\mathrm{Tdeg}(A[x]) \leq \mathrm{Tdeg}(A) + 1$.

(a) Since A is a domain, $A[x, x^{-1}]$ is a \mathbb{Z} -graded domain with $\deg(A) = 0$ and $\deg(x) = 1$. The ideal I generated by $(x - 1)$ contains no homogeneous elements and $A/I \cong A$ is a domain. By Proposition 8.8, $\mathrm{Tdeg}(A[x, x^{-1}]) \geq \mathrm{Tdeg}(A) + 1$.

(b) Since $A[x] = A \otimes k[x]$ and since $k[x]$ is the semigroup algebra $k[\mathbb{N}]$, by Proposition 8.5(4),

$$\mathrm{Tdeg}(A[x]) \leq \mathrm{Tdeg}(A) + \mathrm{Tdeg}(k[x]) = \mathrm{Tdeg}(A) + 1.$$

(2) First note that $A[x]$ is Tdeg-stable if and only if $A[x, x^{-1}]$ is Tdeg-stable. Let Q be the quotient division algebra of A . By Proposition 8.3 and (1),

$$\mathrm{Tdeg}(Q(A[x, x^{-1}])) = \mathrm{Tdeg}(Q[x, x^{-1}]) = \mathrm{Tdeg}(Q) + 1.$$

Combining this with [MR, 8.2.15] (or Lemma 9.1(1)) we obtain

$$\mathrm{Tdeg}(Q(A[x, x^{-1}])) - \mathrm{GKdim}(A[x, x^{-1}]) = \mathrm{Tdeg}(Q) - \mathrm{GKdim}(A).$$

Therefore by Proposition 3.5(2) A is Tdeg-stable if and only if $A[x, x^{-1}]$ is Tdeg-stable. \square

The next propositions are partial results about $\mathrm{Tdeg}(A[x, \sigma, \delta])$ when σ is not the identity and/or δ is not zero.

Proposition 9.3. *Let A be a domain, σ an automorphism of A and δ a σ -derivation. Then*

(1) *The following inequalities hold:*

$$\text{Tdeg}(A[x, \sigma, \delta]) \geq \text{Tdeg}(A[x, \sigma])$$

and

$$\text{Tdeg}(Q(A[x, \sigma, \delta])) \geq \text{Tdeg}(Q(A)[x, x^{-1}, \sigma]).$$

(2) *Suppose that every subframe W of A is contained in a σ -stable subframe V such that the subalgebra $k[V]$ is δ -stable. If $A[x, \sigma]$ is Tdeg-stable, then $A[x, \sigma, \delta]$ is Tdeg-stable and $\text{Tdeg}(A[x, \sigma]) = \text{Tdeg}(A[x, \sigma, \delta])$.*

Proof. (1) Define a leading-term map $\nu : A[x, \sigma, \delta] \rightarrow A[x, \sigma]$ by

$$\nu\left(\sum_{i=0}^n a_i x^i\right) = a_n x^n,$$

where a_n is the nonzero coefficient of the highest degree term of $\sum_{i=0}^n a_i x^i$. Hence ν is a valuation and $\nu(A[x, \sigma, \delta]) = A[x, \sigma]$. By Theorem 6.7(2), $\text{Tdeg}(A[x, \sigma, \delta]) \geq \text{Tdeg}(A[x, \sigma])$. By Proposition 6.5, the valuation ν can be extended to a valuation from $Q(A[x, \sigma, \delta])$ to $Q(A)[x, x^{-1}, \sigma]$ and by Theorem 6.7(2),

$$\text{Tdeg}(Q(A[x, \sigma, \delta])) \geq \text{Tdeg}(Q(A)[x, x^{-1}, \sigma]).$$

(2) By the proof of (1), there is a valuation from $A[x, \sigma, \delta]$ to $A[x, \sigma]$. By Lemma 9.1(2), $\text{GKdim}(A[x, \sigma, \delta]) = \text{GKdim}(A[x, \sigma])$ and by Theorem 6.8, $A[x, \sigma]$ being Tdeg-stable implies that $A[x, \sigma, \delta]$ is Tdeg-stable. Therefore $\text{Tdeg}(A[x, \sigma]) = \text{Tdeg}(A[x, \sigma, \delta])$. \square

Proposition 9.4. *Let A be a domain, σ an automorphism of A of finite order and δ a σ -derivation of A . Then*

(1)

$$\text{Tdeg}(A[x, \sigma, \delta]) \geq \text{Tdeg}(A[x, x^{-1}, \sigma]) \geq \text{Tdeg}(A) + 1.$$

(2) *Suppose that every subframe $W \subset A$ is contained in a σ -stable subframe V such that the subalgebra $k[V]$ is δ -stable. If A is Tdeg-stable, then $A[x, x^{-1}, \sigma]$ and $A[x, \sigma, \delta]$ are Tdeg-stable.*

Proof. (1) By Proposition 9.3(1),

$$\text{Tdeg}(A[x, \sigma, \delta]) \geq \text{Tdeg}(A[x, \sigma]) \geq \text{Tdeg}(A[x, x^{-1}, \sigma]).$$

Since σ has finite order, say n , we have $(A[x, x^{-1}, \sigma])^{(n)} \cong A[z, z^{-1}]$. By Proposition 8.6, and Theorem 9.2(1),

$$\text{Tdeg}(A[x, x^{-1}, \sigma]) \geq \text{Tdeg}((A[x, x^{-1}, \sigma])^{(n)}) = \text{Tdeg}(A[z, z^{-1}]) = \text{Tdeg}(A) + 1.$$

Thus we have proved (1).

(2) By Proposition 9.3(1) and (1) (σ is also an automorphism of $Q(A)$ of finite order),

$$\text{Tdeg}(Q(A[x, \sigma, \delta])) \geq \text{Tdeg}(Q(A)[x, x^{-1}, \sigma]) \geq \text{Tdeg}(Q(A)) + 1.$$

Since A is Tdeg-stable, and by Lemma 9.1(2),

$$\text{Tdeg}(Q(A)) + 1 = \text{GKdim}(A) + 1 = \text{GKdim}(A[x, \sigma, \delta]).$$

Hence by Proposition 3.5(2) $A[x, \sigma, \delta]$ is Tdeg-stable. A similar proof shows that $A[x, x^{-1}, \sigma]$ is Tdeg-stable. \square

Proposition 9.5. *Let A be a commutative domain and let σ be a locally algebraic automorphism of A . Then $\text{Tdeg}(A[x, x^{-1}, \sigma]) = \text{GKdim}(A) + 1$.*

Proof. By Proposition 3.1(3), $\text{Tdeg}(A[x, x^{-1}, \sigma]) \leq \text{Tdeg}(A[x, \sigma])$. It suffices to show that

$$(a) \text{Tdeg}(A[x, x^{-1}, \sigma]) \geq \text{Tdeg}(A) + 1 = \text{GKdim}(A) + 1, \text{ and}$$

$$(b) \text{Tdeg}(A[x, \sigma]) \leq \text{Tdeg}(A) + 1 = \text{GKdim}(A) + 1.$$

(a) Pick a σ -stable subframe $V \subset A$ such that $\text{GKdim}(k[V]) = \text{GKdim}(A)$. Let $V' = V + xV + x^{-1}V$. Then $xV' = V'x$. By Proposition 8.2, $I_{V'}(A[x, x^{-1}, \sigma]) = \inf_b \text{GKdim}(k[bV'])$, where b ranges over nonzero homogeneous elements. Hence $b = b_1x^s$ for some integer s and some $b_1 \in A$. It is easy to see that $bV' \supset b_1Vx^i$ for some $i \neq 0$. Thus

$$\begin{aligned} \dim((k + bV')^n) &\geq \dim((k + b_1x^iV)^n) \\ &= \dim\{k \oplus V(b_1x^i) \oplus (V)^2(b_1x^i)^2 \oplus \cdots \oplus (V)^n(b_1x^i)^n\} \\ &= \sum_{l=0}^n \dim(V^l). \end{aligned}$$

This implies that

$$\text{GKdim}(k[bV']) \geq \text{GKdim}(k[V]) + 1 = \text{GKdim}(A) + 1.$$

Therefore $\text{Tdeg}(A[x, x^{-1}, \sigma]) \geq \text{GKdim}(A) + 1$.

(b) Since σ is locally algebraic, by Lemma 9.1(1) $\text{GKdim}(A[x, \sigma]) = \text{GKdim}(A) + 1$. Hence

$$\text{Tdeg}(A[x, \sigma]) \leq \text{GKdim}(A[x, \sigma]) = \text{GKdim}(A) + 1. \quad \square$$

It can be shown that $\text{Tdeg}(A[x, x^{-1}, \sigma]) \geq \text{GKdim}(A) + 1$ for every automorphism σ of a commutative domain A , but the proof is more complicated (see [Z2]). By Lorenz's example (see the second part of Example 2.3(1)) inequality cannot be replaced by equality. Theorem 9.2, Propositions 9.4, 9.5 and Example 2.3(1) suggest the following conjecture.

Conjecture 9.6. *Let D be a domain and let σ be an automorphism of D . Then*

$$\text{Tdeg}(D[z, z^{-1}, \sigma]) \geq \text{Tdeg}(D) + 1.$$

If Conjecture 9.6 holds, then we can prove the conjecture stated in the introduction. Let A be an \mathbb{N} -graded domain of finite GKdim . Then A is an Ore domain. It is easy to check that A is also a graded Ore domain. The graded quotient algebra $Q_{gr}(A)$ is then a strongly graded algebra and the degree zero part $D := Q_0$ is a division algebra. There is an automorphism σ of D such that $Q_{gr}(A) = D[z, z^{-1}, \sigma]$ with x having minimal positive degree in $Q_{gr}(A)$.

Proposition 9.7. *Suppose Conjecture 9.6 is true. Let A be a finitely generated connected graded domain of $\text{GKdim } d$, with graded quotient algebra $D[z, z^{-1}, \sigma]$. Then $\text{Tdeg}(D) \leq d - 1$. If, moreover, $\text{GKdim}(A) = d = 3$, then $\text{Tdeg}(D) = 2$.*

Proof. By Proposition 3.1(3),

$$\text{Tdeg}(D[z, z^{-1}, \sigma]) \leq \text{Tdeg}(A) \leq \text{GKdim}(A) = d.$$

If Conjecture 9.6 holds, then $\text{Tdeg}(D) \leq d - 1$.

Suppose now $\text{GKdim}(A) = d = 3$. Hence $\text{Tdeg}(D) \leq 2$. If $\text{Tdeg}(D) < 2$, then by Proposition 2.4 $\text{Tdeg}(D) \leq 1$. By Theorem 4.3, $\text{GKdim}(D) \leq 1$. By [ASta, 1.15] D is finitely generated as a division algebra. Then by [SSW], D is a finite module over its center Z and Z is a finitely generated field of transcendence degree ≤ 1 . Finally by [ASta, 1.2] $\text{GKdim}(A) \leq 2$. That is a contradiction. Therefore $\text{Tdeg}(D) = 2$. \square

If $d \geq 4$, then $\text{Tdeg}(D)$ may be less than $d - 1$, as the following example shows.

Example 9.8. There is a connected graded and Tdeg-stable domain A of GKdim 4, with graded quotient algebra $D[z, z^{-1}, \sigma]$, such that $\text{Tdeg}(D) = \text{GKdim}(D) = 2$.

Let D be the field of rational functions $k(x, y)$, σ the automorphism of D defined by $\sigma(x) = x, \sigma(y) = xy$ (see Example 2.3(1)) and B the graded algebra $D[z, z^{-1}, \sigma]$ with $\deg(z) = 1$ and $\deg(D) = 0$. Hence $\text{GKdim}(D) = \text{Tdeg}(D) = 2$. It is easy to see that B is a localization of the algebra $S := k[x, x^{-1}, y, y^{-1}][z, z^{-1}, \sigma]$ defined in Example 2.3(1). By Example 2.3(1), S is isomorphic to a group algebra $k[G]$ where $G = \langle y, z | x = [y, z] \text{ central} \rangle$ is a torsion free nilpotent group of class 2. By Lorenz's Theorem [Theorem 8.1], $S = k[G]$ is Tdeg-stable. By Proposition 3.5(1), $\text{Tdeg}(B) = \text{Tdeg}(k[G]) = 4$. Let A be the graded subalgebra of B generated by $\{1, z, xz, yz, xyz\}$. Hence A is a connected graded algebra which is also a subalgebra of $k[G]$. Now the graded quotient algebra of A is B . As a consequence, the (ungraded) quotient algebra of A is equal to the (ungraded) quotient algebra of B (and of $k[G]$). By Proposition 3.5(4), A is Tdeg-stable and $\text{GKdim}(A) = \text{GKdim}(k[G]) = 4$. \square

Conjecture 9.6 is also related to a question of Artin and Stafford. Artin and Stafford showed that if A is a connected graded domain, then $\text{GKdim}(A)$ cannot be strictly between 2 and $11/5$ [ASta, 0.1]. It is natural to ask if any number between $11/5$ and 3 appears as GKdim of a connected graded domain. If Conjecture 9.6 holds, then it is easy to show that no number strictly between 2 and 3 appears as GKdim or Tdeg of a finitely generated connected graded domain (see the proof of Proposition 9.7).

Without using Conjecture 9.6, we can prove a partial result stated in Theorem 1.3.

Theorem 9.9. *Let A be an \mathbb{N} -graded locally finite domain of GKdim d , containing a normal element of degree $l > 0$. Let $Q_{gr}(A) = D[x, x^{-1}, \sigma]$ be the graded quotient algebra of A . Suppose one of the following conditions holds:*

- (i) A is right noetherian.
- (ii) A is generated by elements of degrees 0 and 1.

Then $\text{Tdeg}(D) \leq d - 1$. Equality holds if $d = 2, 3$.

Proof. Under the condition (i) or (ii), A is a finitely generated right $A^{(l)}$ -module. Then, by [KL, 5.5], $\text{GKdim}(A) = \text{GKdim}(A^{(l)})$ and it is easily to check that $A^{(l)}$ satisfies (i) or (ii) respectively. As a consequence $A^{(l)}$ is an Ore domain. It is routine to check that $Q_{gr}(A)^{(l)} = Q_{gr}(A^{(l)})$. Hence we may replace A by $A^{(l)}$ and assume that the normal element, say r , has degree 1. After twisting by an automorphism σ , the normal element r becomes central in A^σ [Z1, 2.12]. Under the condition (i) or (ii) we have $\text{GKdim}(A) = \text{GKdim}(A^\sigma)$ (see [Z1, 5.7]) because A and A^σ are the same as a graded vector space. By [Z1, 5.4], $Q_{gr}(A^\sigma) = (Q_{gr}(A))^\sigma$. Thus the

degree zero part $Q_0(A)$ of $Q_{gr}(A)$ is isomorphic to the degree zero part $Q_0(A^\sigma)$ of $Q_{gr}(A^\sigma)$. Hence D is invariant under the twisting. Therefore, without loss of generality, we may assume that A contains a central element r of degree 1.

Let B be the localization $A[r^{-1}]$. Then by [KL, 4.2] $\text{GKdim}(B) = \text{GKdim}(A) = d$. By the definition of B we have $B = B_0[r, r^{-1}]$ and $Q_{gr}(A) = Q_{gr}(B)$. Hence D is isomorphic to the quotient division algebra $Q(B_0)$. By [MR, 8.2.15], $\text{GKdim}(B_0) = \text{GKdim}(B) - 1 = d - 1$. Therefore $\text{Tdeg}(D) \leq \text{GKdim}(B_0) = d - 1$.

If $d = 2$ or 3 , then $\text{GKdim}(B_0) = 1$ or 2 respectively. By Corollaries 4.2(4) and 4.4(3), $\text{Tdeg}(D) = 1$ or 2 respectively. \square

If $d = 3$, this is Theorem 1.3 stated in the introduction. If D is commutative, then both Conjecture 9.6 and the conjecture stated in the introduction hold (details will be given in [Z2]).

10. REGULAR ALGEBRAS

In this section we will prove that Artin-Schelter regular algebras of dimension 3 and the Sklyanin algebra of dimension 4 are Tdeg-stable.

Let α, β and γ be three scalars in k not equal to 0, 1 or -1 and satisfying

$$\alpha + \beta + \gamma + \alpha\beta\gamma = 0.$$

The Sklyanin algebra S is generated by $\{x_0, x_1, x_2, x_3\}$ subject to the relations

$$\begin{aligned} x_0x_1 - x_1x_0 - \alpha(x_2x_3 + x_3x_2) &= 0, & x_0x_1 + x_1x_0 - (x_2x_3 - x_3x_2) &= 0, \\ x_0x_2 - x_2x_0 - \beta(x_3x_1 + x_1x_3) &= 0, & x_0x_2 + x_2x_0 - (x_3x_1 - x_1x_3) &= 0, \\ x_0x_3 - x_3x_0 - \gamma(x_1x_2 + x_2x_1) &= 0, & x_0x_3 + x_3x_0 - (x_1x_2 - x_2x_1) &= 0. \end{aligned}$$

The algebra S is a connected, graded, noetherian domain of GK-dimension, Krull dimension and global dimension 4 [SSta, 0.3]. By [SSta, 3.9] the elements

$$\Omega_1 = -x_0^2 + x_1^2 + x_2^2 + x_3^2 \quad \text{and} \quad \Omega_2 = x_1^2 + \frac{1+\alpha}{1-\beta}x_2^2 + \frac{1-\alpha}{1+\gamma}x_3^2$$

are central in S , and $S/(\Omega_1)$ and $S/(\Omega_1, \Omega_2)$ are domains of GKdim 3 and 2 respectively. Hence $\{\Omega_1, \Omega_2\}$ is a sequence of central regular elements. For more details see [SSta].

Proposition 10.1. *Let A be a domain and let $x \in A$ be a central element such that $\bigcap_n x^n A = \{0\}$. Suppose that $A/(x)$ is a domain. Then $\text{Tdeg}(A) \geq \text{Tdeg}(A/(x)) + 1$. If moreover $\text{GKdim}(A) = \text{GKdim}(A/(x)) + 1$ and $A/(x)$ is Tdeg-stable, then A is Tdeg-stable.*

Proof. By Proposition 6.10(2) and Theorem 9.2(1),

$$\text{Tdeg}(A) \geq \text{Tdeg}(A/(x)[z]) = \text{Tdeg}(A/(x)) + 1.$$

Suppose that $\text{GKdim}(A) = \text{GKdim}(A/(x)) + 1$. Then

$$\text{GKdim}(A) = \text{GKdim}(A/(x)[z]).$$

If $A/(x)$ is Tdeg-stable, by Theorem 9.2(2), $A/(x)[z]$ is Tdeg-stable. Hence by Proposition 6.10(3), A is Tdeg-stable. \square

Applying Proposition 10.1 to graded algebras, we have the following.

Corollary 10.2. *Let A be a finitely generated connected graded domain and let x be a central element of positive degree. Suppose $A/(x)$ is a domain. Then $\text{Tdeg}(A) \geq \text{Tdeg}(A/(x)) + 1$. If moreover $A/(x)$ is Tdeg-stable, then A is Tdeg-stable.*

Proof. Since A is graded and the degree of x is positive, $\bigcap_n x^n A = \{0\}$. As a special case of Proposition 10.1, $\text{Tdeg}(A) \geq \text{Tdeg}(A/(x)) + 1$. Since $A := \bigoplus_{i \geq 0} A_i$ is a finitely generated connected graded domain,

$$\begin{aligned} \text{GKdim}(A) &= \overline{\lim}_{n \rightarrow \infty} \log_n \left(\sum_{i=0}^n \dim A_i \right) \\ &= \overline{\lim}_{n \rightarrow \infty} \log_n (\dim A_n) + 1 = \text{GKdim}(A/(x)) + 1. \end{aligned}$$

By Proposition 10.1, if $A/(x)$ is Tdeg-stable then A is Tdeg-stable. \square

Corollary 10.3. *The Sklyanin algebra S of dimension 4 is Tdeg-stable.*

Proof. Since $\{\Omega_1, \Omega_2\}$ is a sequence of central regular elements and $S/(\Omega_1, \Omega_2)$ is a domain of GKdim 2 which is Tdeg-stable [Corollary 4.4(3)], by Corollary 10.2, $S/(\Omega_1)$ and S are Tdeg-stable. \square

The same proof works for any graded algebra A with a central regular sequence $\{z_i\}_{i=1}^m$ such that $A/(z_i)$ is a Tdeg-stable (for example, $A/(z_i)$ is a domain of GKdim 2).

The Sklyanin algebra is Artin-Schelter regular in the following sense. A connected graded algebra A is called *Artin-Schelter regular* (of dimension d) if

- (as1) A has finite global dimension d ,
- (as2) A has finite GKdim,
- (as3) A is Gorenstein, namely $\text{Ext}_A^q(k, A)$ is zero if $q \neq d$ and k if $q = d$.

See [AS] and [Le] for more details. The Sklyanin algebra is an Artin-Schelter regular algebra of dimension 4 [SSta, 0.3]. The papers [AS], [ATV1,2] classify all Artin-Schelter regular algebras of dimension 3 which are generated in degree 1. By [ATV1,2], a regular algebra A of dimension 3 contains a normal element z of positive degree such that $A/(z)$ is a graded domain of GKdim 2. In some cases z is central and, by Corollary 10.2, A is Tdeg-stable. If z is not central (but normal) we need a little more work to show that A is Tdeg-stable.

Lemma 10.4. *Let A be a domain and V a subframe of A with $\text{GKdim}(k[V]) \geq 1$.*

- (1) *For every nonzero finite dimensional subspace W of A , $\dim(WV) > \dim(W)$.*
- (2) *Let b_i be regular elements of A . Then $\dim(b_1 V b_2 V \cdots b_n V) \geq n + 1$.*

Proof. (1) Since $WV \supset W$, $\dim(WV) = \dim(W)$ implies that $WV = W$ and hence $Wk[V] = W$. But W contains a regular element, hence $\dim(k[V]) \leq \dim(W)$. This contradicts the fact that $\text{GKdim}(k[V]) \geq 1$. Therefore $\dim(WV) > \dim(W)$.

(2) follows from (1) and induction. \square

Theorem 10.5. *Let D be a domain of $\text{GKdim} \geq 1$. Let σ be an algebra automorphism of D and let α be a graded algebra automorphism of $D[x, x^{-1}, \sigma]$ (with $\deg(D) = 0$ and $\deg(x) = 1$). Then $\text{Tdeg}(D[x, x^{-1}, \sigma][y, y^{-1}, \alpha]) \geq 3$.*

Proof. First note that $D[x, x^{-1}, \sigma][y, y^{-1}, \alpha]$ is a \mathbb{Z}^2 -graded algebra with $\deg(x) = (1, 0)$ and $\deg(y) = (0, 1)$. Let V be a subframe of D such that $\text{GKdim}(k[V]) \geq$

1. Then $V_1 := \sum_{s,t=-1}^1 x^s y^t V$ is a subframe of $D[x, x^{-1}, \sigma][y, y^{-1}, \alpha]$. For every nonzero homogeneous element $b_1 = bx^a y^b$, we claim that $\text{GKdim}(k[b_1 V_1]) \geq 3$. By replacing x by x^{-1} and/or replacing y by y^{-1} if necessary, we may assume $b_1 V_1 \supset x^i y^j b(V + yV)$ and $i, j > 0$. Let W_1, W_2 and W denote $x^i y^j bV$, $x^i y^j byV$ and $x^i y^j b(V + yV)$ respectively. Then $(k + b_1 V_1)^n \supset (k + W)^n$ for all n . For every homogeneous element c in W , the \mathbb{Z}^2 -degree is $\deg(c) = (i, *)$. Hence

$$(k + W)^n = \sum_{m=0}^n W^m = \bigoplus_{m=0}^n W^m.$$

By definition, $W = W_1 \oplus W_2$. Since $W_1^s W_2^{m-s} = (x^i y^j bV)^s (x^i y^j byV)^{m-s}$ have different \mathbb{Z}^2 -degrees for different s , we have

$$W^m \supset \sum_{s=0}^m W_1^s W_2^{m-s} = \bigoplus_{s=0}^m W_1^s W_2^{m-s}.$$

By Lemma 10.4(2),

$$\dim(W_1^s W_2^{m-s}) = \dim((x^i y^j bV)^s (x^i y^j byV)^{m-s}) \geq m + 1.$$

Hence $\dim(W^m) \geq (m + 1)(m + 1)$ and

$$\dim((k + b_1 V_1)^n) \geq \sum_{m=0}^n (m + 1)^2 \geq 1/3 n^3.$$

Thus we have proved that $\text{GKdim}(k[b_1 V_1]) \geq 3$ for all nonzero homogeneous elements b_1 . By Proposition 8.2, $\text{Tdeg}(D[x, x^{-1}, \sigma][y, y^{-1}, \alpha]) \geq 3$. \square

As a consequence of Theorem 10.5, we can prove the following.

Theorem 10.6. *Let A be a connected graded and finitely generated domain of GKdim 3, containing a normal element z of positive degree such that $A/(z)$ is a domain. Then A is Tdeg -stable.*

Proof. Since A is a connected graded finitely generated domain and z is a normal element, by the proof of Corollary 10.2, $\text{GKdim}(A) = \text{GKdim}(A/(z)) + 1$. Hence $A/(z)$ is a connected graded finitely generated domain of GKdim 2. Then the graded quotient algebra $Q_{gr}(A/(z))$ is isomorphic to $D[x, x^{-1}, \sigma]$. By [ASta, 0.1] D is a finitely generated PI division algebra with $\text{GKdim}(D) = 1$.

Since z is a normal element of A , by Proposition 6.10(3),

$$\text{Tdeg}(A) \geq \text{Tdeg}(A/(z)[y, \alpha])$$

where α is determined by the normal element z . By Example 6.3, α is a graded algebra automorphism of $A/(z)$. Hence $A/(z)[y, \alpha]$ is a \mathbb{Z}^2 -graded algebra with \mathbb{Z}^2 -graded quotient algebra $D[x, x^{-1}, \sigma][y, y^{-1}, \alpha]$. By Propositions 3.1(3) and 8.3 and Theorem 10.5,

$$\begin{aligned} \text{Tdeg}(Q(A/(z))[y, y^{-1}, \alpha]) &\geq \text{Tdeg}(Q(A/(z)[y, \alpha])) \\ &= \text{Tdeg}(D[x, x^{-1}, \sigma][y, y^{-1}, \alpha]) \geq 3. \end{aligned}$$

Since there is a valuation from A to $A/(x)[y, \alpha]$, by Proposition 6.5, there is a valuation from $Q(A)$ to $Q(A/(z))[y, y^{-1}, \alpha]$. Therefore by Theorem 6.7(2),

$$\text{Tdeg}(Q(A)) \geq \text{Tdeg}(Q(A/(z))[y, y^{-1}, \alpha]) \geq 3 = \text{GKdim}(A).$$

By Proposition 3.5(2), A is Tdeg -stable. \square

Corollary 10.7. *Every 3-dimensional Artin-Schelter regular algebra generated in degree 1 is Tdeg-stable.* \square

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